# IN THE SUPREME COURT OF TENNESSEE AT NASHVILLE

# IN RE: AMENDMENT OF RULES 6 AND 7, RULES OF THE TENNESSEE SUPREME COURT

### No. ADM2022-01449

### ORDER

The Tennessee Board of Law Examiners ("the Board") has filed a petition asking the Court to amend Tennessee Supreme Court Rule 6, governing admission of attorneys, and Tennessee Supreme Court Rule 7, governing licensing of attorneys. The Board states in its petition that the proposed amendments "reflect changes to processes adopted during the pandemic that positively impact the licensing and admissions process." The Board further states that "[t]he requested amendments include recommendations regarding the changing nature of the practice of law, including recommendations regarding remote legal education and how 'active practice of law' is counted by the Board." In addition, the Board states that as a result of administering the Uniform Bar Examination since February 2019, "the Board recommends changes to some of the processes and references to the bar examination in Tennessee."

The Court hereby publishes the petition for public comment and solicits written comments on the proposed amendments from judges, lawyers, bar associations, members of the public, and any other interested parties with respect to the attached proposed amendments to Tennessee Supreme Court Rules 6 and 7. The deadline for submitting written comments is January 20, 2023. Comments should reference the above docket number and should be e-mailed to appellatecourtclerk@tncourts.gov or mailed to: James M. Hivner, Clerk, Tennessee Appellate Courts, 100 Supreme Court Building, 401 7th Avenue North, Nashville, TN 37219-1407.

The Clerk shall provide a copy of this order, including the appendix, to LexisNexis and to Thomson Reuters. In addition, this order, including the appendix, shall be posted on the Tennessee Supreme Court's website.

PER CURIAM

## APPENDIX

## TENNESSEE BOARD OF LAW EXAMINERS' PETITION TO AMEND TENNESSEE SUPREME COURT RULE 6 GOVERNING ADMISSION OF ATTORNEYS AND RULE 7 GOVERNING LICENSING OF ATTORNEYS

### IN THE SUPREME COURT OF TENNESSEE AT NASHVILLE



# IN RE: AMENDMENT OF RULES 6 AND 7, RULES OF THE TENNESSEE SUPREME COURT

# No. ADM2022-01449

### PETITION TO AMEND TENNESSEE SUPREME COURT RULE 6 GOVERNING ADMISSION OF ATTORNEYS AND RULE 7 <u>GOVERNING LICENSING OF ATTORNEYS</u>

The Tennessee Board of Law Examiners (the "TBLE" or "Board") hereby respectfully petitions this Honorable Court to amend Tennessee Supreme Court Rule 6 ("Rule 6") and Rule 7 ("Rule 7") to reflect changes to processes adopted during the pandemic that positively impact the licensing and admissions process. The requested amendments include recommendations regarding the changing nature of the practice of law, including recommendations regarding remote legal education and how "active practice of law" is counted by the Board. Additionally, after administering the Uniform Bar Examination for since February 2019, the Board recommends changes to some of the processes and references to the bar examination in Tennessee.

The Board recommends revising Rule 6 to incorporate current practice and remove documentation that is no longer required:

A. Paragraph (2) is modified to reflect the current application for admission by affidavit does not include a personal statement; this provision has been revised to reflect current practices. Additionally, Paragraph (2)(A) is amended to eliminate the statement of sponsors. The statement of sponsors dates to a time when admission to practice before the Supreme Court was not required and admission may have been many years after the attorney was admitted to the practice of law. Today, applicants are approved for admission to practice before the Supreme Court and all courts in Tennessee after completion of a thorough character and fitness investigation which has been thoroughly reviewed by the Board of Law Examiners. The investigation includes statements from references, law schools, and employers as part of the character and fitness investigation. Applicants must take the oath of admission within 2 years of approval or must re-submit to the character and fitness process prior to admission. Paragraph (B) has been added to clarify how the oath is administered to an applicant seeking admission by affidavit.

- B. The first line of former paragraph (3) has been deleted as it is incorporated in revised paragraph (2). The balance of former paragraph (3) has been moved to new paragraph (7). Former paragraphs (4) and (5) have been renumbered as (3) and (4).
- C. Paragraph (4) has been revised to eliminate administration of the oath by a justice or judge of a court of last resort in another jurisdiction. With virtual admission, there is no longer a need to have someone from outside Tennessee administer the oath of admission.
- D. Paragraph (5) is new and reflects practices instituted during the pandemic for virtual admission ceremonies. Paragraph (6) includes the remaining provisions from former paragraph (3).

Taken together, the recommended amendments to Rule 6 reflect current practices for admission by ceremony and by affidavit.

The Board recommends the following changes to Rule 7:

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- A. § 1.01(c): The recommended change clarifies that practice in compliance with RPC 5.5(d)(2), services that a lawyer is authorized to provide by federal law or other law or rule of this jurisdiction, are permitted. This is in keeping with the requirement included in RPC 5.5(d)(3) that those practicing in compliance with RPC 5.5(d)(1) must register as In-House Counsel under § 10.01 of Rule 7.
- B. § 1.03: Two changes are recommended:
  - In paragraph (c), section 10.06 is referenced twice. The duplication is corrected and the language referencing sections 5.01 and 10.06 is revised to make consistent with references throughout the Rule.
  - 2. The Board recommends a change to paragraph (3) to correct a typographical error by removing the extra space between "conduct" and "required" in the third line.
- C. § 1.04: This change also corrects a typographical error by removing the word "a" before UBE in the first line.
- D. § 1.07(f): Providing access to the TLC prior to approval of application by the Board of UBE Score Transfer, Comity, and Military Spouse applicants speeds the admissions process upon Board approval of the application. The current process interrupts the admissions process, requiring completion of one additional step prior to eligibility. Exam applicants access the TLC upon completion of the bar examination so that, once the application is approved by the Board, the process for admission and licensing moves forward without interruption. Access to the TLC can be provided to other candidates for admission upon receipt of the background investigation report.
- E. § 2.01: The section related to undergraduate education ("Bachelor's Degree") is modified to remove the phrase, "before taking his or her first bar examination" as the educational

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requirements apply to all types of admission. Further, the following paragraph in the section, § 2.01(b), addresses the requirements for education prior to examination.

- F. § 2.02: The Board recommends changes to two paragraphs of § 2.02, Legal Education:
  - 1. Paragraph (a) is revised to add the word "admission" following "seeking" in the first sentence of the paragraph.
  - 2. Paragraph (c) reflect changes related to revised ABA Standards and Rules of Procedure for Approval of Law Schools. The ABA, in Standards 306, 311 and 511, limits distance learning to one-third the credit hours required for graduation. During the pandemic, the ABA permitted accredited schools to offer programs of up to 100% distance learning after approval of a substantive change. Presently, there are 11 ABA-accredited law schools with programs that have been approved for up to 100% distance learning. As § 2.02 currently reads, graduates of distance learning programs at ABA-accredited law schools do not meet the educational requirements. The proposed change clarifies that a J.D. earned at an ABAaccredited or Tennessee-approved law school may include distance learning credits up to the amount permitted in the Standards and that a J.D from an ABAaccredited law school offering an ABA-approved distance learning program may include additional distance-learning credits up to 100% of the curriculum.
  - The language of paragraph (d) is confusing. To clarify, a reference to paragraph
     (a) replaces the deleted text.
- G. § 3.03: Presently, Rule 7 provides a final deadline of May 20 for the July exam; for the February exam, the final deadline is December 20. Board Policy P-3.03 includes an Initial Deadline of May 1 for the July exam and December 1 for the February exam. As

currently structured, an applicant who meets the initial deadline by submitting the Tennessee application and fee will receive at least one notice of a deficiency in the application documents. In recent years, there has been a tremendous increase in the number of applicants submitting an application after the Initial Deadline, with a corresponding increase in the number of applicants found ineligible to take the examination due to an incomplete application. Additionally, applicants who submit after the initial deadline request a significant amount of attention, taking Board staff away from the job of reviewing applications and providing deficiency notices to those who submitted the application before the initial deadline, resulting in delays informing applicants of deficiencies. Tennessee is one of three jurisdictions with a deadline after May 1 or December 1 for initiating an application. Creating a two-deadline process with one deadline for submitting the application (the "Application Deadline") and a second deadline for completing the application (the "Final Deadline") will provide more time to assess space needs and testing materials, as well as give staff time to review and notify applicants of deficiencies. The "Application Deadline," will be May 1 for the July exam and December 1 for February; after this date, no one submit an application. The Final Deadline of May 20 or December 20 will permit applicants who met the Application Deadline time to remedy any deficiencies in the application. These changes should result in a reduction in the number of applicants found ineligible to take the examination. Additionally, paragraph (a)(1) has been revised to clarify that to take the examination an applicant is applying for admission in Tennessee. Modifications to paragraph (d) formalize the process for uploading documents to a secure file server by third parties in lieu of filing by hard copy. This process was implemented as a temporary solution for

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third-party filings during the pandemic and has been successful at not only providing an immediate and secure upload platform but also reducing the number of applicants found ineligible because critical documents were not received by the deadline.

- H. § 3.04(a)(7): This change corrects a typographical error by adding an "s" to month (six months).
- § 3.05: The recommended modifications in paragraphs (a)(1) and (b) clarify the requirements for admission by transferred UBE score. Additionally, paragraph (b) has been revised to measure the time in practice for use of an expired UBE score, which is currently measured from the application date. The Board recommends measuring time in practice from the date upon which the application was filed or the date the UBE score expired, whichever is later, but not to exceed the five-year limit on score expiration. Many UBE score transfer applicants apply for admission before they begin working; therefore, anyone applying in shortly before the score expires after 3 years will not possibly have the requisite time in practice. Lastly, paragraph (c) has been amended to move former paragraph (a)(2) to paragraph (c)(2) as part of the filing requirement.
- J. § 4.04: It is recommended that this section be deleted. As a UBE jurisdiction, examination content is determined by the NCBE.
- K. § 4.07: Two changes to this section are recommended:
  - 1. Paragraph (c) is amended to add the word "for" before "admission" in the last sentence of the section.
  - Paragraph (d) is modified to incorporate the UBE and eliminate reference to the "Tennessee bar examination." This change is consistent with other similar revisions throughout the Rule.

L. § 4.08: This new section is recommended by the Board due to a growing trend of applicants who withdraw from the examination or who fail to show at the examination. The provisions incorporate common practices and policies of other UBE jurisdictions, as well as the NCBE Conditions of Use for the UBE, by not permitting entry to anyone who does not show for an earlier session of the exam and not permitting someone who has completed the examination to withdraw once testing is completed.

M. § 5.01: The Board recommends changes to two paragraphs:

- § 5.01(b) Diploma Privilege. This paragraph references the incorrect item in the previous paragraph (a), which should be (a)(2), not (a)(1).
- 2. § 5.01(c): As noted in the comment filed by the Board to the pending Petition to Amend Rule 7, Sec. 5.01(c), filed by the Network of Enlightened Women, the Board recommends removing the term "full time" from the definition of "active practice of law." This will permit the Board to consider less than full time work of attorneys who demonstrate they are primarily engaged in the practice of law. The Board recommends revising the list of activities that constitute the practice of law, expanding it to more closely follow the activities listed in the ABA Model Rule and to provide clarity regarding the requirements for some types of activities, such as in-house counsel, to count as time in practice.
- N. § 5.03(a)(6): The recommended modification corrects a typographical error by adding an "s" to month (six months).
- O. § 5.04: On the "tncourts.gov" website for Supreme Court Rule, this section is listed as a duplicate § 5.03 but should read, Sec. 5.04 Obligation to Amend. Additionally, the bold formatting for text, "and has not expired as provided in section 5.03," is removed.

### P. § 7.01:

- Paragraph (a): It is rare that an applicant is before the Board who has a foreign education evaluation that reflects less than "substantially equivalent education" but who has, in addition to foreign education, perhaps some U.S. legal education, such as an LL.M. For a determination of substantially equivalent education, § 7.01(a) considers foreign education only and does not look to any U.S. education. However, in instances where there may be some other education that could impact eligibility but which is not within the requirements of Rule 7, the Board recommends that § 7.01 be modified to permit the Board to make a recommendation to the Court so that the Court may exercise its discretion to approve the education if the Court finds the applicant's record sufficient to support eligibility. At this time, the only option available to the Board is to deny the applicant as ineligible for admission by examination or admission by transferred UBE score.
- 2. Paragraph (b) includes a few edits for clarity and consistency.
- 3. For paragraphs (a) and (b), captions have been added.

### Q. § 10.01: Registration of In-House Counsel

- Revisions have been made throughout this section to clarify when the registration process is complete and to align the requirements with similar requirements for other types of admission:
  - a. § 10.01(a) has been revised and renumbered to list the documents required for an application to register as In-house Counsel. The revisions incorporate the requirement to include an NCBE Investigation Application (no investigation

is required), to clarify the types of certificates that must be provided to prove admission, updates to the affidavit requirements to include a form affidavit, and clarification regarding foreign legal counsel requirements.

- b. New paragraph (a)(3) clarifies that the lawyer must complete the application process to obtain approval by the Board and that an application not completed prior to expiration of the Registration Period is late.
- New paragraph (a)(4) gives the Board discretion to approve a timely filed application after expiration of the Registration Period. However, such approval would not put the lawyer at risk of unauthorized practice of law.
- Changes to paragraph (d) were made to (4) to add a cross reference to the end of a lawyer's employment.
- 3. Paragraph (f) is revised to clarify the registration is specific to the entity employing the lawyer when the lawyer becomes registered. That is not clearly stated in the current rule. Additionally, the word, "terminates," is replaced with "ends" to clarify that any event that ends employment, such as resignation or layoff, automatically terminates registration.
- 4. The changes to paragraph (g) for reinstatement of registration incorporate changes made to in paragraphs (a) and (f). Further, the changes clarify that if a lawyer does not complete the reinstatement process within the Reinstatement Period, the lawyer must begin a new application to register under § 10.01(a).
- 5. Paragraph (i) is modified to reflect the changes throughout § 10.01.
- Paragraph (j) is revised to include applicants under § 10.06, Spouse of Military Servicemember, and to clarify that the amnesty applies only to registrants under § 10.01.

7. The amnesty period has expired; therefore, the Board recommends deletion of this paragraph.

Overall, the changes to § 10.01 clarify that registration is specific to the employer, that registration must be completed within the 180 days, and the steps the lawyer must take to complete registration. Additionally, the requirements are aligned with similar filing requirements in Rule 7 for consistency in application.

- R. § 10.03: The changes to paragraph (e)(3) are suggested to clarify the nature of an approval to practice under this section. Practice under § 10.03 is temporary permission and not admission to the bar of Tennessee. To avoid confusion and to properly reflect the nature of the approval by the Supreme Court, the Board recommends that the Rule reflect that the student will be provided with a certificate of registration rather than admission. It is recommended that paragraph (h)(3) be amended to reflect that the supervising attorney must be in active status.
- S. § 10.04(a)(1)(D): The Board recommends revising this paragraph to specify that the supervising attorney must be in active status.
- T. § 10.07: Modifications to paragraph (a)(5) are recommended to specify that the associating attorney must be in active status. Revisions to paragraph (c) are recommended to correct typographical errors, replacing commas with semi-colons and creating numbered paragraphs for ease of reading and citation. Additionally, a new provision is added at paragraph (c)(1)(F) to permit the Board, in its discretion, to terminate an applicant's ability to practice pending admission upon issuance of a Show Cause Order based on character and fitness concerns.

- U. § 12.11(a): Release of the raw answer i.e., that typed by the applicant without notations or comments from the graders, is a best practice in high stakes testing. With the Court's approval, the Board has released answers for several recent examinations. The process is relatively easy and has been well-received. The Board recommends formalizing this process by amending this section of Rule 7. Paragraph (b) reflects a grammatical revision.
- V. § 13.01(b): The modification is to correct a typographical error.
- W. § 17.01(g): The provision has been modified to include in-person or distance learning, which corresponds to the changes to § 2.02(e).

A red-line copy of the proposed changes is attached as Exhibit A and a conformed copy is attached as Exhibit B.

Wherefore, for the foregoing reasons, the Board respectfully requests this Honorable Court to enter an Order amending Tennessee Supreme Court Rule 6 and Rule 7 as set forth herein.

Respectfully submitted,

**TENNESSEE BOARD OF LAW EXAMINERS** 

By: UNI Pepke, President Wphilos

#### CERTIFICATE OF SERVICE

The undersigned certifies that a Notice of Filing of the foregoing Petition to Amend Tennessee Supreme Court Rule 6 Governing Admission of Attorneys and Rule 7 Governing Licensing of Attorneys has been served upon those listed in Exhibit C by email on this  $2^{1}$  day of  $2^{1}$ , 2022, and posted on the TBLE website at www.tnble.org.

Lisa Perlen, Executive Director

### 1 **RULE 6: ADMISSION OF ATTORNEYS.**

- An applicant who has been approved for licensing under Rule 7 may seek admission to the bar of this
  Court by either:
- 5 (1) Appearing in open court and representing, through a reputable member of the bar, that he or she is a
- 6 person of good moral character and that he or she has been issued a Certificate of Eligibility to be
- 7 licensed to practice law under Rule 7 and the statutes of this state; or
- 8 (2) filing Filing with the Clerk of the Supreme Court an application for admission by affidavit. The
- 9 documents submitted by the applicant shall demonstrate that he or she possesses the necessary
- 10 qualifications for admission. Such application shall contain:
- 11
- (A) <u>The application for admission on affidavit consists of the following: A personal statement by the</u>
   applicant
- 14 (i) An application in the form provided by the Appellate Court Clerk's Office and which includes a
- 15 <u>statement</u> that he or she the applicant possesses all qualifications and meets all requirements for 16 admission as set out in the preceding paragraph; and
- 17 (B) A statement by two sponsors (who must be members of the Bar of this Court and must personally
- 18 know the applicant) endorsing the correctness of the applicant's statement, stating that the applicant
- 19 possesses all the qualifications required for admission and affirming that the applicant is of good moral
- 20 and professional character. Upon timely application and for good cause shown, the Board of Law
- 21 Examiners, in its discretion, may waive this requirement; and,
- (<u>Cii</u>) A copy of the Certificate of Eligibility issued by the Board of Law Examiners pursuant to Rule 7,
   Section 9.01.
- 24 (3) The documents submitted by the applicant shall demonstrate that he or she possesses the necessary
- 25 qualifications for admission. (B) An applicant seeking admission by affidavit shall appear in person
- 26 before one of the judicial officials in Tennessee listed in paragraph 5, below, who will administer the oath 27 of admission.
- 28 Upon the applicant's taking the oath or affirmation and paying the fee therefor, the Clerk shall issue a
- 29 certificate of admission. The fee for admission to the Bar of this Court shall be fixed by the Court.
- 30 Applications may be filed in the offices of the Clerk at Nashville, Knoxville, or Jackson.
- 31 (<u>34</u>) Each applicant for admission shall take the following oath:
- I, \_\_\_\_\_\_, do solemnly swear or affirm that I will support the Constitution of the United States and
   the Constitution of the State of Tennessee. In the practice of my profession, I will conduct myself with
   honesty, fairness, integrity, and civility to the best of my skill and abilities, so help me God.
- 35 (45) The foregoing oath of admission may be administered by one of the following judicial officials in
- Tennessee: (A) a Justice of the Supreme Court; (B) a Judge of the Court of Appeals; (C) a Judge of the
- 37 Court of Criminal Appeals; (D) a Circuit Court Judge; (E) a Chancellor; (F) a Criminal Court Judge; (G)
- a General Sessions Court Judge; (H) a Judge of any other inferior court established by the General
- 39 Assembly pursuant to Article VI, Section 1 of the Tennessee Constitution; (I) the Clerk of the Appellate
- 40 Courts; (J) a Chief Deputy Clerk of the Appellate Courts; or (K) the Clerk (not including deputy clerks)
- 41 of any of the courts of such trial judges listed above. The oath of admission also may be administered by a
- 42 justice or judge of the court of last resort in any other state.
- 43 (5) The oath of admission may be administered virtually through video conference technology by a
- 44 Justice of the Supreme Court, the Clerk of the Appellate Courts or a Chief Deputy of the Appellate
- 45 Courts. The applicant shall present proof of identification in the form of an unexpired Driver's License.

46	Passport, or Military	ID prior to taking the oath	of admission	, in addition to complyi	ng with the other
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- requirements for admission by affidavit.
- (6) Upon the applicant's taking the oath or affirmation and paying the fee therefor, the Clerk shall issue a
- certificate of admission. The fee for admission to the Bar of this Court shall be fixed by the Court. Applications may be filed in the offices of the Clerk at Nashville, Knoxville, or Jackson.

### 55 RULE 7: LICENSING OF ATTORNEYS.

### 56 Sec. 1.01. Prerequisites to Engaging in Practice of Law or Law Business.

- 57 No person shall engage in the "practice of law" or the "law business" in Tennessee as defined in Tenn.
- 58 Code Ann. § 23-3-101 and Tenn. Sup. Ct. R. 9, § 10.3(e), except under the authority of the Supreme
- 59 Court, unless the person:
- 60 ...
- (c) is practicing in compliance with Tenn. Sup. Ct. R. 8, RPC 5.5(c), Tenn. Sup. Ct. R. 8, RPC 5.5(d)(2),
  or Tenn. Sup. Ct. R. 19 (pro hac vice).

### 63 Sec. 1.03. Criteria for Issuance of the Certificate of Eligibility.

The Board shall issue a Certificate of Eligibility under section 9.01 of this Rule only after determining that the applicant:

66 ...

67 (e) has demonstrated the reputation, character, honesty, respect for the rights of others, due respect for the 68 law, and the fitness to practice law, that in the opinion of the Board indicates no reasonable basis for

- substantial doubts that the applicant will adhere to the standards of conduct -required of attorneys in this
- 70 State;
- 71

### 72 Sec. 1.04. Waiver of Examination.

73 The requirement to pass the Tennessee bar examination or provide a passing a-UBE score may be waived

for an applicant who has been admitted to practice in another state in the United States, the District of

Columbia, or a U.S. Territory, provided that the applicant satisfies all requirements for admission without

- 76 examination as specified in this Rule.
- 77 Sec. 1.07. Tennessee Law Course.
- 78 The Tennessee Law Course is intended to provide instruction in specific areas of Tennessee law not 79 addressed by the Uniform Bar Exam.
- 80 ...

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- (f) The Board shall provide applicants with instructions regarding access to the Tennessee Law Course asfollows:
  - (1) Applicants seeking admission under section 3.01 (by examination) shall receive instructions upon completion of the bar examination.
- 86 (2) Applicants seeking admission under section 3.05 (transferred UBE score), section 5.01 (without
   87 examination) or section 10.06 (spouse of military servicemember) will receive instructions upon
   88 approval of their application by the Board receipt of the completed character and fitness
   89 investigation from the NCBE.
- 90 (g) The Tennessee Law Course must be successfully completed within one year of the date that the

91 applicant completes all other requirements to be eligible for a Tennessee law license. Any applicant who

92 successfully completes the Tennessee Law Course but does not complete all other requirements for

93 eligibility to obtain a law license within such one yearone-year period must repeat the Tennessee Law

94 Course before admission.95

### 96 ARTICLE II. EDUCATIONAL REQUIREMENTS FOR ADMISSION

### 97 Sec. 2.01. Bachelor's Degree.

98 (a) Any applicant seeking admission must have received a Bachelor's Degree or higher from a college on

the approved list of the Southern Association of Colleges and Secondary Schools, or the equivalent

regional accrediting association, or any accreditation agency imposing at least substantially equivalent standards before taking his or her first bar examination. As part of the application for admission, an

102 applicant shall provide evidence of the degree in the form required by the Board.

- (b) To be eligible to take the exam, an applicant shall provide evidence of the degree, earned before theexamination, in the form required by the Board.
- 105 ...

### 106 Sec. 2.02. Legal Education Degree Requirements.

(a) Any applicant seeking <u>admission</u> must have completed a course of instruction in and graduated with a

108 J.D. Degree from a law school accredited by the ABA at the time of applicant's graduation, or a

109 Tennessee law school approved by the Board pursuant to section 17.01 of this Rule at the time of the 110 applicant's graduation.

. 111 ....

(d) An attorney who received a legal education in the United States or a U.S. Territory but is ineligible for

admission because the law school attended was not accredited by the ABA or was a Tennessee law school not approved by the Board does not meet the requirements of paragraph (a) above may be considered for

114 not approved by the Boarddoes not meet the requirements of paragraph (a) above may be considered 115 admission by examination or transferred UBE score provided the attorney satisfies the following

- educational, licensing, and practice requirements:
- 117 ....

(e) No correspondence course will be accepted by the Board as any part of an applicant's legal education 118 to meet the requirements of this Rule. Distance, on-line, or other instruction that is not in person will be 119 120 accepted as part of a the curriculum at an ABA-accredited or Tennessee-approved law school only to the extent approved permitted by the ABA for accredited law schools without approval of a substantive 121 122 change, or up to 100% of the curriculum for law schools approved by the ABA to offer distance-learning programs. The ABA permits distance learning without approval of a substantive change as provided in 123 Definitions 7 and 8 and Standards 306. 311. and 511 of the Standard and Rules of Procedure for Approval 124 125 of Law Schools.

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### 127 ARTICLE III. APPLICATION FOR ADMISSION BY EXAMINATION SCORE

### 128 Sec. 3.03. Date for Filing Application for Examination or Reexamination.

- 129 The application process for submitting an application to take the for admission by examination shall begin 130 on March 1 for the July examination and October 1 for the February examination.
- 131 (a) Deadlines:
- (1) The last day to submit an application and pay the fee shall be May 1 for taking the July
   examination and December 1 for taking the February examination (the "Application Deadline").
- (2) The application process and shall be completed no later than May 20 for taking the July
   examination and December 20 for taking the February examination (the "Final Deadline").
- (b) For an applicant to be eligible in order for the Board to have sufficient time to determine each
   applicant's eligibility to sit for the bar examination, an applicant must meet the following deadlines:
- 138 (1) The correct application must be submitted by the Application Deadline:
- 139 (2) The fee due under the Fee Schedule provided in section 11.01 must be paid by the Application
   140 Deadline;
- (3) all All supporting documentation required to complete the application process must be submitted
   to the Board by the Final Deadline;
- (4) All application steps, including submitting the documents required for theall steps necessary to
   initiate the background investigation required in section 6.03(b) of this Rule, must be submitted
   on or before the deadline, and all fees must be paid in full on or before the completed by the Final
   deadlineDeadline.

# (c) The Board shall <u>detail the application process and list the items necessary for a complete application</u> in the Board Policies and Procedures. <u>Steps in the application process shall be listed on the Board's</u> website.

- (d) Original documents that must be mailed provided to the Board by a third party must be received on or
   before the deadline. Documents from third parties that must be received by the deadline may be
   mailed to the Board or may be uploaded to a secure portal in accordance with the process established
   by the Board.
- (c)(c) Applicants who have not completed the application process by the deadline are ineligible to sit
   for the examination. The only recourse for failure to complete the application process is to reapply for
   the next examination. The Board shall list the items necessary for a complete application in the Board
   Policies and Procedures.
- 158 Sec. 3.04. Expiration of Application for Admission on Exam Score.

(a) An application for admission by examination, re-examination, or transferred UBE score expires andcloses upon the earlier of:

161 ...

[162 (7) six months after the last communication from the Board, following completion of the background

163 investigation ....

### 164 Sec. 3.05. Admission by Transferred Uniform Bar Examination Score.

(a) Any applicant for admission who has taken the UBE in another jurisdiction may be admitted to thepractice of law in this state by transferred UBE score, upon showing that the applicant:

(1) has taken the entire UBE in a single administration in another jurisdiction and earned a total UBE
 scaled score equal to or greater than the <u>minimum</u> score required to be achieved by <u>successful</u> Tennessee
 examination <u>UBE</u> applicants and that such score has not expired as proved in section 4.07(c);

(2) has requested transfer of the score from the jurisdiction where the score was achieved or from the
 National Conference of Bar Examiners directly to the Tennessee-Board of Law Examiners;

172 (32) meets the educational requirements pursuant to sections 2.01 and 2.02;

173 (4<u>3</u>) is a member in good standing in all jurisdictions in which applicant is currently admitted;

- (54) is not currently subject to lawyer discipline or the subject of a pending disciplinary matter in any
   other jurisdiction;
- (65) meets the Character and Fitness Standard under section 6.01 required of all applicants for
   admission to practice law in this jurisdiction; and
- 178 (76) has not engaged in the unauthorized practice of law in this or any other jurisdiction.

179 (b) An applicant who has achieved a UBE scaled score equal to or greater than the minimum score 180 required to be achieved by successful Tennessee examination UBE applicants that has expired pursuant to 181 section 4.07(c), but is not more than five years from the date grades were released in Tennessee for the exam administration for which the score was earned, may apply for admission on transferred UBE score 182 183 provided the attorney is licensed in another jurisdiction in the United States and has been primarily 184 engaged in the active practice of law, as defined in section 5.01(c) of this Rule, in one or more states or territories of the United States, or the District of Columbia, for three of the five years immediately 185 preceding the date upon which the application is filed or the UBE score expired, whichever is later. An 186 applicant seeking admission on a UBE score that was expired at the time application is made or that 187 188 expires while the application is pending must demonstrate sufficient time in practice prior the final 189 expiration of the score, as provided in section 4.07(c).

190 (c) An applicant for admission by transferred UBE score shall:

(1) file an application for admission on transferred UBE score, including character investigation
 information, in the manner established by the Board, including submission of all required documents in
 the appropriate format;

- 194 (2) has requested transfer of the score from the jurisdiction where the score was achieved or from the
   195 National Conference of Bar Examiners directly to the Tennessee Board of Law Examiners:
- (23) submit a certificate of admission from the highest court of each jurisdiction to which the applicant
   has been admitted;
- 198 (<u>34</u>) submit a certificate of good standing from each jurisdiction to which the applicant has been

### admitted; and

200 (4<u>5</u>) pay the application fee as adopted pursuant to section 11.01 of this Rule.

### 201 Sec. 4.04. The Scope of the Examination Reserved.

The examination may include, but not be limited to, the following subjects: Business Associations, Civil
 Procedure, Conflicts of Law, Constitutional Law, Contracts, Criminal Law and Procedure, Evidence,
 Family Law, Real Property, Secured Transactions, Torts, and Trusts and Estates.

204 <del>Famu</del>

### 205 Sec. 4.07. Grading the Examination and Score Expiration.

(a) The Board shall continue to maintain procedures which assure that the identity of each applicant in the
 grading process is not known to any person having responsibility for grading or determining whether the
 applicant passes or fails until the grades of all applicants have been finally determined.

- (b) The minimum bar examination score required for a successful examination will be adopted as a
   statement of policy and approved by the Supreme Court pursuant to section 12.05 of this Rule.
- 211 (c) Bar examination scores earned in Tennessee, whether by means of the former Tennessee bar
- 212 examination or the UBE, are valid to determine eligibility for licensing for three years after the date
- 213 grades are released. The scores expire after three years. A UBE score transferred to Tennessee is valid for

three years from the date grades were released in Tennessee for the exam administration for which the

215 score was earned unless the UBE score can be used for admission under section 3.05(b). A UBE score 216 that was earned five or more years from the date grades were released in Tennessee for the exam

that was earned five or more years from the date grades were released in Tennessee for the exam administration for which the score was earned is not valid for admission to Tennessee.

(d) In order for an applicant by examination or transferred UBE score to be determined eligible for
 licensing pursuant to section 9.01, a score equal to or greater than that required by Tennessee on the

220 Multistate Professional Responsibility Examination ("MPRE") must be achieved within no more than two

- years of <u>before</u> successfully completing the Tennessee bar examination or earning a qualifying UBE score
   that is being used for admission in Tennessee under sections 3.01 or 3.05 of this Rule; provided, however,
- that an applicant who:
- (1) is licensed by examination in another state in the United States, the District of Columbia or a U.S.
   Territory;
- 226 (2) provides certification that the license is active and in good standing; and
- (3) achieved a score equal to or greater than the score required by Tennessee on the MPRE two or
   more years before successful completion of the Tennessee bar examination

229 may provide proof of that earlier score to satisfy the MPRE requirement. It is the responsibility of the 230 applicant to cause MPRE score reports to be furnished to the Board. The minimum MPRE score will be 231 adopted as a statement of policy and approved by the Supreme Court pursuant to section 12.05 of this

- 232 Rule.
- 233 Sec. 4.08. Voluntary Withdrawal from the Examination.
- (a) An applicant may withdraw from the examination at any time prior to the start of the examination by
   providing written notice of withdrawal from the examination to the Board.

- (b) Once the examination begins, an applicant may withdraw from the examination by written notice to
   the Board or by failing to appear at any session of the examination.
- (c) An applicant who fails to appear for a session of the examination will be withdrawn from the exam
   and not be permitted to appear for any subsequent session of the examination.
- 240 (d) No one may withdraw from the examination after completing all sections of the examination.
- 241 (a)(e) Refund of fees will be permitted only to the extent provided in section 11.03 of this Rule.
- 242

# Sec. 5.01. Minimum Requirements for Admission Without Examination of Persons Admitted in Other Jurisdictions.

245 ...

246 (b) Diploma Privilege. An applicant who was admitted and licensed to practice in another state pursuant to a "diploma privilege," which exempts an applicant from taking a bar examination, and who has not 247 been admitted by examination or transferred UBE score in any other state in the United States, the District 248 of Columbia, or a U.S. Territory in which the applicant is in good standing, may seek a waiver of 249 subsection (a)( $\pm 2$ ) by filing a petition with the Board as provided in section 13.02, setting forth the 250 reasons why the applicant should be admitted to practice law in Tennessee. The petition shall include 251 252 information upon which the Board can assess the applicant's reputation, character, knowledge, skills and abilities. The Board shall then conduct a hearing in response to the petition, according to the guidelines 253 set forth in section 13.03 of this Rule. After considering the totality of the proof presented, the Board shall 254 make a recommendation to the Supreme Court either for approval or denial of the petition or for such 255 other action as the Board may deem appropriate. Any applicant whose petition for waiver of subsection 256 257 (a)(+2) is denied by the Board may file a petition for review in the Supreme Court pursuant to the procedures set forth in section 14.01. 258

### 259 (c) Active Practice of Law.

(1) For the purposes of this Rule, in addition to the definitions of "Practice of Law" and "Law
Business" in section 1.01 of this Rule, the "active practice of law" shall include the following activities, if
performed in a jurisdiction in which the applicant is admitted, or if performed in a jurisdiction that
permits such activity by a lawyer not admitted to practice:

264 (A) full-time private or public practice of law as a licensed attorney; 265 (B) teaching law full time at a law school approved by the Council of the Section of Legal 266 Education and Admissions to the Bar of the ABAAmerican Bar Association; 267 (C) service as a judicial law clerk or staff attorney; and 268 (D) service as a Judge in a federal, state. or local court of record; 269 (E) service as Attorney General or Assistant Attorney General, Public Defender, U.S. Attorney, 270 District Attorney, or an attorney or general counsel for a local, state, or federal agency, including military service: 271

- (F) service as duly registered In House Counsel-in-house counsel, provided that the attorney is duly
   registered under a rule similar to section 10.01 of this Rule if required in the jurisdiction in which
   the services were provided; and or
- 275 (G) practice as a Military Spouse under a license approved similar to that awarded under section
   276 10.06 of this Rule in the jurisdiction in which the services were provided.

277 (2) For the purposes of this Rule, in addition to the definitions of "Practice of Law" and "Law Business" in section 1.01 of this Rule, the "active practice of law" may be construed in the Board's 278 discretion as being actively engaged in other full time employment requiring interpretation of law and 279 application of legal knowledge if performed in a jurisdiction in which the applicant is admitted, or if 280 281 performed in a jurisdiction that permits such activity by a lawyer not admitted to practice. however, in no event shall any activities that were performed pursuant to a provision similar to section 10.04 or section 282 10.07 of this Rule in advance of bar admission in a state or territory of the United States or the District of 283 284 Columbia be accepted toward the durational requirement.

285 (3) The Board shall consider such evaluative criteria as time devoted to legal work, the nature of the 286 work, whether legal training or a law license was a prerequisite of employment, and other similar matters.

(34) For work to meet the requirement of "active practice of law," the lawyer must have been licensed,
 in active status and in good standing in at least one jurisdiction at the time the work was performed,
 unless the work was performed pursuant to paragraph (c)(1)(B). In no event shall any activities performed
 pursuant to a provision similar to section 10.04 or section 10.07 of this Rule before bar admission in a
 state or territory of the United States or the District of Columbia be accepted toward the durational
 requirement.

293

### 294 Sec. 5.03. Expiration of Application for Admission Without Examination.

295 (a) An application for admission without examination (comity) expires and closes upon the earlier of:

296 ...

(6) six months after the last communication from the Board, whether sent by mail or electronically,
 which remains unanswered by the applicant.

299 ...

### 300 Sec. 5.0304. Obligation to Amend.

301 Until an applicant is admitted to the Tennessee bar, or the application is denied by the Board or voluntarily withdrawn, the applicant is under a continuing obligation to update responses to any of the 302 303 information requested in the application process. Whenever there is an addition or a change to the information previously provided to the Board, the applicant must amend his or her application by filing an 304 amendment or supplemental application as prescribed by the Board. An applicant whose application has 305 been on file for two years or more and that has not expired as provided in section 5.03, must submit an 306 application for supplement investigation to the NCBE every two years until such time as the Applicant is 307 admitted, has been denied admission, or has withdrawn the application for admission. 308

309 Sec. 7.01. Eligibility to Take Examination.

### 310 (a) Substantially Equivalent Foreign Education.

311 (1) An applicant who has completed a course of study in and graduated from a law school in a 312 foreign jurisdiction, which law school was then recognized and approved by the competent 313 accrediting agency of such jurisdiction, may qualify, in the discretion of the Board, to take the 314 Board, for admission by bar examination under section 3.01, or for admission by transferred UBE score under section 3.05, provided that the applicant shall satisfy the Board that his or her 315 undergraduate education and legal education were substantially equivalent to the requirements of 316 317 sections 2.01 and 2.02 of this Rule. The applicant shall submit a comprehensive evaluation that includes a course-by-course evaluation, determination of equivalency, plus authentication of 318 319 transcripts ("Foreign-Education Report") from a Credential Evaluation Service that is a member 320 of the National Association of Credential Evaluation Services to enable the Board to determine 321 the applicant's eligibility for such admission.

(1)(2) If an applicant's Foreign Education Report does not demonstrate education that is
 substantially equivalent to that required in sections 2.01 and 2.02 of this Rule, but the Board finds
 that other factors may nonetheless qualify the applicant to seek admission by bar examination or
 by transferred UBE score, the Board may transmit the applicant's file and a recommendation to
 the Court so that the Court may review the file and determine whether to exercise its inherent
 discretion to permit the application to take the examination or be admitted by transferred UBE
 score.

(b) <u>Non-equivalent Foreign Education</u>. In the alternative, an applicant who has completed a course of

330 study in and graduated from a law school in a foreign jurisdiction, which law school was then recognized

and approved by the competent accrediting agency of such jurisdiction, may qualify, in the discretion of

the Board, to take the for admission by bar examination under section 3.01, or for admission by transferred

333 UBE score under section 3.05, provided that the applicant shall satisfy the Board that the applicant:

334 ...

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345 346

347 348

### 335 Sec. 10.01. Registration of In-house Counsel.

(a) A lawyer who is admitted to the practice of law in another U.S. jurisdiction or is a foreign lawyer and
who is employed as a lawyer by an organization, the business of which is lawful and consists of activities
other than the practice of law or the provision of legal services, and who has a systematic and continuous
presence in this jurisdiction pursuant to Tenn. Sup. Ct. R. 8, RPC 5.5(d)(1), shall complete the
requirements for registration register as in-house counsel within 180 days of the commencement of
employment as a lawyer (the "Registration Period"). by submitting to the Board the following:

- (1) A complete application for registration requires submitting to the Board the following:
  - (A) A-The completed application, including an NCBE Background Investigation Application and all required supporting documents, in the form prescribed manner established by the Board;
- $(2\underline{B})$  A-<u>The</u> fee in the amount set by the Board under section 11.01; 350
- (3<u>C</u>) Documents proving Certificates of admission to practice law the highest court for each United
   States and foreign jurisdiction to which the lawyer is admitted; and
   353
- 354 (D) Certificates of status and current good standing in all United States and foreign jurisdictions in

355 356 357 358	which the lawyer is admitted to practice law: If the jurisdiction is foreign and the documents are not in English, the lawyer shall submit an English translation and satisfactory proof of the accuracy of the translation; and
359 360 361 362	$(4\underline{E})$ An affidavit from an officer, director, or general counsel of the employing entity in the form provided by the Board attesting to the lawyer's employment by the entity. the date employment began, and the capacity in which the lawyer is so employed, and stating that the employment conforms to the requirements of this Rule.
363 364	(F) For any documents that are not in English, the lawyer shall submit an English translation and satisfactory proof of the accuracy of the translation.
365 366	(2) The Board shall list the items and steps necessary for a complete application in the Board Policies and Procedures.
367	
368	(3) The lawyer obtains approval by the Board on a registration application that is:
369 370	(A) completed on or before expiration of the Registration Period as provided in paragraph (a) of this section: or
371 372	(B) completed after expiration of the Registration Period and the late fee as provided in paragraph (h) of this section has been paid.
373	
374 375 376	(4) The Board has the discretion to issue approval after the Registration Period has expired. If the application was completed prior to expiration of the Registration Period, the approval shall be deemed timely, even if after the expiration of the Registration Period.
377 378 379 380 381 382 383	(5) For purposes of this Rule, a "foreign lawyer" is a member in good standing of a recognized legal profession in a foreign jurisdiction, the members of which are admitted to practice as lawyers or counselors at law or the equivalent and subject to effective regulation and discipline by a duly constituted professional body or a public authority. Upon recommendation of the Board, the Supreme Court may allow a foreign lawyer lawfully practicing as in-house counsel in a foreign jurisdiction who does not meet the above requirements to register as an in-house counsel after consideration of other criteria, including the lawyer's legal education, references, and experience.
384	
385	(d) A registered lawyer under this section shall:
386  387 388	(1) Complete the registration process with the Board of Professional Responsibility within thirty days of approval of the application to register registration by the Board under paragraph (a)(3) of this section;
389	(2) Pay all annual fees payable by active members of the bar;
390 391	(3) Fulfill the continuing legal education requirements that are required of active members of the bar; and

392	(4) Report to the Board, within thirty days, the following:
393	(A) Termination of the lawyer's employment as provided in paragraph $(f)(1)$ of this section;
394	
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395	(f) A registered lawyer's rights and privileges under this section automatically terminate when:
396 397	(1) The lawyer's employment with the entity employing the lawyer at the time the lawyer becomes registered terminatesends;
398 399	(2) The lawyer is suspended or disbarred from practice in any jurisdiction or any court or agency before which the lawyer is admitted;
400	(3) The lawyer fails to maintain active status in at least one jurisdiction; or
401	(4) The lawyer fails to comply with the requirements in paragraph $(d)(1) - (4)$ , above.
402  403 404	Upon the occurrence of one or more of the foregoing events, the registered lawyer shall give written notice within thirty days of the terminating event to the Board and to the Board of Professional Responsibility.
405 406 407 408 409	(g) A registered lawyer whose registration is terminated under paragraph (f)(1) above, may be reinstated within 180 days of termination the end of the lawyer's previous registered employment by completing the requirements for reinstatement of registration (the "Reinstatement Period"). upon submission to the Board of the following:
410 411 412	(1) The complete application for reinstatement of registration requires submitting to the Board the following:
413 414 415 416	(1 <u>A</u> ) An- <u>The</u> application for reinstatement <u>of registration in a form prescribed by the Board.</u> including all required supporting documents and submitted in the manner established by the <u>Board</u> ; in a form prescribed by the Board;
417	(2B) A-The reinstatement fee set by the Board pursuant to section 11.01;
418	(C) Certificates of Admission and Good Standing as prescribed by the Board: and
419	$(3\underline{D})$ An affidavit from the current employing entity as prescribed in paragraph (a) $(4)(1)(\underline{E})$ .
420 421	(2) The Board shall list the items and steps necessary for a complete application for reinstatement of registration in the Board Policies and Procedures.
422 423	(3) The lawyer obtains approval by the Board on a reinstatement application that is completed on or before the expiration of the Reinstatement Period.
424 425 426 427	(4) The Board has the discretion to issue approval after expiration of the Reinstatement Period upon submission of a timely completed reinstatement application. Such approval is timely and the lawyer shall not be considered to have engaged in unauthorized practice of law if the Board approves the timely completed reinstatement application after the Reinstatement Period.

429 Tennessee within 180 days of termination of registration under paragraph (f)(1) of this section, or who obtains new In-house Counsel employment in Tennessee but does not complete the application for 430 431 reinstatement of registration prior to expiration of the Reinstatement Period, must submit a new 432 application to register as provided in paragraph (a) of this section. 433 (h) A lawyer under this Rule who fails to register complete the registration application under paragraph 434 (a) of this section within 180 days of commencement of employment prior to expiration of the Registration Period shall be: 435 436 (1) Permitted to register under this section as provided in paragraph (a), above but will be required to 437 pay a late registration fee as provided in the fee schedule established under section 11.01; 438 (2) Subject to professional discipline in this jurisdiction; 439 (3) Ineligible for admission pursuant to section 5.01 of this Rule; 440 (4) Referred by the Board to the Board of Professional Responsibility; and (5) Referred by the Board to the disciplinary authority of the jurisdiction(s) of licensure. 441 442 (i) A lawyer's service to the lawyer's employer before timely registration under this Rule shall not 443 constitute the unauthorized practice of law or otherwise be treated as violating Tenn. Sup. Ct. R. 8, RPC 5.5 as long as the services are permitted under this Rule for registered lawyers and the lawyer files the 444 445 application for registration complies with the requirement to complete the registration application under 446 section 10.01 paragraph (a) of this Rule-section before expiration of the Registration Period or to complete 447 the reinstatement application under paragraph (g) of this section before expiration of the Reinstatement 448 Period, within 180 days of the commencement of the lawyer's employment. The protection of this section

(5) A lawyer whose employment ends and who does not obtain new in-house counsel employment in

449 applies only to lawyers who submit an application to register under this section within 180 days of

450 commencement of practice in Tennessee.

(j) A lawyer who is eligible to register under this section but who submits an application for admission
without examination under section 5.01, by examination under section 3.01, or by transferred UBE score
under section 3.05, or as a Spouse of a Military Servicemember under section 10.06, must register to
practice pending admission under section 10.07 or also register as in-house counsel. The protections of
paragraph (i) apply only to lawyers who are seeking registration as in-house counsel and do not apply for
admission under other provisions sections of this Rule.

(k) Amnesty. A foreign lawyer who has been employed as a lawyer in an organization in Tennessee for more than 180 days at the time of enactment of amended section 10.01 and who complies fully with the requirements of this Rule on or before September 30, 2019, shall not be barred from registration under this Rule or from practicing under the authority of Tenn. Code Ann. § 23-3-103 and RPC 5.5(d)(l) solely.

- 461 by the fact of prior noncompliance with Tennessee law concerning licensure of in-house counsel.
- 462 Sec. 10.03. Law Student Practice.

463

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### 464 (e) **Approval by the Supreme Court.**

465 (1) The dean of the law student's law school or the director shall file a request for approval of a

- qualified law student with the Clerk of the Supreme Court of Tennessee in Nashville on forms and in theformat required by the Supreme Court.
- 468 (2) Upon a showing that the law student is qualified under the provisions of this Rule, the Supreme469 Court shall issue an order approving the law student to practice.
- 470 (3) Upon the entry of the order approving a law student to practice under this Rule, the Board shall
   471 provide the student with a certificate of admissionregistration under this section.
- 472 ...
- 473 (h) Supervision.

(1) The qualified law student shall be under the immediate and personal supervision of an attorney
who meets the requirements of paragraph (3), below. If the supervising attorney is not teaching in a law
school clinic, the attorney must be approved in writing by the dean or director.

(2) It is the responsibility of the supervising attorney to ensure that the student is properly supervised
and instructed, including compliance with Tenn. Sup. Ct. R. 8, RPC 5.3, and be present for administrative
or adjudicatory proceedings; however, it is not necessary that the licensed attorney be personally present
when the student engages in other activities such as interviewing, investigation, drafting and negotiation.

- 481 (3) The supervising attorney must:
- (A) be a lawyer licensed-who is admitted to practice, in active status, and in good standing in
  Tennessee;
- 484 (B) have practiced for a minimum of three years;
- 485 (C) assume professional responsibility for the direct and immediate supervision for the 486 professional work of the qualified law student; and
- 487 (D) be a full-time employee of an entity identified in paragraph (g)(1)(A)-(E), above, and 488 supervise the qualified law student in connection to that employment.
- 489
- 490 Sec. 10.04. Practice before Admission by Examination Score.
- 491 ...
- 492 (c) Supervision.

493 (1) The applicant shall be under the immediate and personal supervision of an attorney who meets the494 requirements of paragraph (3), below.

(2) It is the responsibility of the supervising attorney to ensure that the applicant is properly supervised
and instructed including compliance with Tenn. Sup. Ct. R. 8, RPC 5.3, and be present as provided in
paragraph (d)(2), below; however, it is not necessary that the supervising attorney be present when the
applicant engages in activities such as interviewing, investigation, drafting, and negotiation.

499 (3) The supervising attorney must:

500 501	(A) be a lawyer licensed who is admitted to practice, in active status, and in good standing in Tennessee;	
502	(B) have practiced for a minimum of three years; and	
502	(b) have practiced for a minimum of three years; and	
503 504	(C) assume professional responsibility for the direct and immediate supervision for the professional work of the applicant.	
505	Sec. 10.07. Practice Pending Admission by Applicant Licensed in Another Jurisdiction.	
506 507 508 509 510	(a) A lawyer who is licensed to practice law and in good standing in another state in the United States, the District of Columbia, or a U.S. Territory and who has submitted an application for admission under section 3.01, 3.05, 5.01, or 10.06 of this Rule may provide legal services in this jurisdiction through an office or other systematic and continuous presence during the pendency of the application for admission but for no more than 365 days, provided that the lawyer:	
511	(1) is not disbarred or suspended from practice in any jurisdiction;	
512	3.43	
513 514	(5) associates with a lawyer who is admitted to practice. in active status, and in good standing in Tennessee;	
515		
516		
517	(c) Termination of Right of Practice Pending Admission.	
518 519	(1) The right to practice pending admission under this section terminates:	
520 521	(A) if the lawyer withdraws the application for admission or if such application is denied;	
522 523 524	(B) if the lawyer becomes disbarred, suspended, or takes disability inactive status in any other jurisdiction in which the lawyer is licensed to practice law;	
525  526	(C) if a formal complaint is filed with the Board of Professional Responsibility or an indictment filed by the Attorney General's Office in Tennessee against the lawyer;	
527 528	(D) if the lawyer fails to register for admission pro hac vice when required,	
529 530	(E) if the lawyer fails to timely provide the written notice required by section $10.07(a)(4)$ ; or-	
531 532 533	(F) in the Board's discretion, if an Order to Show Cause is issued by the Board, based in part on the lawyer's character and fitness to practice law in Tennessee.	
534 535 536	(2) Upon termination of the right of practice, the lawyer shall not undertake any new representation that would require the lawyer to be admitted to practice law in this jurisdiction and, within ten days, shall:	

# (A) — (1) cease to occupy an office or other systematic and continuous presence for the practice of law in Tennessee unless authorized to do so pursuant to another Rule;

- (B) <u>(2)</u> notify all clients being represented in pending matters, and opposing counsel or co-counsel, of the termination of the lawyer's authority to practice pursuant to the authority in this section; and
- 541
- (C) (3)-take all other necessary steps to protect the interests of the lawyer's clients.

### 543 Sec. 12.11. Confidentiality of Board Records and Files.

(a) Records, statements of opinion, and other information regarding an applicant for admission to the bar 544 545 communicated by any entity including any person, firm, or institution to the Board or their members, employees, or agents, applications for admission, examination papers and grades, and all investigative 546 547 records of the Board, including, but not limited to, correspondence and/or electronic transmissions to and from the Board, its members and staff, minutes of Board meetings and its deliberations and all 548 549 documents, communications and proceedings prepared in connection with evaluations or investigations of law schools under sections 17.01, 17.02, 17.03, 17.04, 17.05, 17.06, 17.07, and 17.10 of this Rule, whether 550 in paper or electronic form, shall be confidential and shall not be open to inspection without written 551 552 application to and authorization by an appropriate order of the Supreme Court. For examination applicants who are unsuccessful on an examination, the Board is permitted to release to the applicant 553 answers to the performance test and essay questions for that examination. The Board shall specify the 554 555 process for obtaining the answers in the Board Policies and Procedures.

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(b) The Board is authorized to release information which that would otherwise be confidential to

- disciplinary or law enforcement agencies of any jurisdiction, the Tennessee Lawyer Assistance Program, and to the Board of Professional Responsibility upon written request. The Board may release information
- that is otherwise confidential as follows:

560 ...

### 561 Sec. 13.01. Show Cause Orders.

562 ...

(b) Response to Show Cause Order. The applicant's reply to the Show Cause Order shall be in writing,
 under oath, and may include such additional affidavits or other documents as the applicant may choose to
 furnish.

- 566 Sec. 17.01. Tennessee Law Schools.
- 567 ....
- 568 (g) Substandard Law Schools.

(1) Any law school located in or seeking to locate in Tennessee (whether offering a full-time or part time in-person or distance-learning curriculum), which permits the enrollment of students without first
 having obtained the written approval of the Supreme Court as provided in section 17.01, shall be

- 572 classified as a substandard school.
- 573
- 574

### **RULE 6: ADMISSION OF ATTORNEYS.**

2

An applicant who has been approved for licensing under Rule 7 may seek admission to the bar of this Court by either:

5 (1) Appearing in open court and representing, through a reputable member of the bar, that he or she is a

6 person of good moral character and that he or she has been issued a Certificate of Eligibility to be

7 licensed to practice law under Rule 7 and the statutes of this state; or

8 (2) Filing with the Clerk of the Supreme Court an application for admission by affidavit. The documents

9 submitted by the applicant shall demonstrate that he or she possesses the necessary qualifications for

- 10 admission.
- 11 (A) The application for admission on affidavit consists of the following:

12 (i) An application in the form provided by the Appellate Court Clerk's Office and which includes a

13 statement that the applicant possesses all qualifications and meets all requirements for admission as set

14 out in the preceding paragraph; and

(ii) A copy of the Certificate of Eligibility issued by the Board of Law Examiners pursuant to Rule 7,
 Section 9.01.

- (B) An applicant seeking admission by affidavit shall appear in person before one of the judicial officials
   in Tennessee listed in paragraph 5, below, who will administer the oath of admission.
- 19 (3) Each applicant for admission shall take the following oath:

I, \_\_\_\_\_\_, do solemnly swear or affirm that I will support the Constitution of the United States and the Constitution of the State of Tennessee. In the practice of my profession, I will conduct myself with honesty, fairness, integrity, and civility to the best of my skill and abilities so help me God

honesty, fairness, integrity, and civility to the best of my skill and abilities, so help me God.

23 (4) The foregoing oath of admission may be administered by one of the following judicial officials in

Tennessee: (A) a Justice of the Supreme Court; (B) a Judge of the Court of Appeals; (C) a Judge of the

25 Court of Criminal Appeals; (D) a Circuit Court Judge; (E) a Chancellor; (F) a Criminal Court Judge; (G)

a General Sessions Court Judge; (H) a Judge of any other inferior court established by the General

Assembly pursuant to Article VI, Section 1 of the Tennessee Constitution; (I) the Clerk of the Appellate

28 Courts; (J) a Chief Deputy Clerk of the Appellate Courts; or (K) the Clerk (not including deputy clerks)

- 29 of any of the courts of such trial judges listed above.
- 30 (5) The oath of admission may be administered virtually through video conference technology by a
- 31 Justice of the Supreme Court, the Clerk of the Appellate Courts or a Chief Deputy of the Appellate

32 Courts. The applicant shall present proof of identification in the form of an unexpired Driver's License,

Passport, or Military ID prior to taking the oath of admission, in addition to complying with the other

34 requirements for admission by affidavit.

35 (6) Upon the applicant's taking the oath or affirmation and paying the fee therefor, the Clerk shall issue a

- 36 certificate of admission. The fee for admission to the Bar of this Court shall be fixed by the Court.
- 37 Applications may be filed in the offices of the Clerk at Nashville, Knoxville, or Jackson.
- 38
- 39
- 40
- 41

### 42 RULE 7: LICENSING OF ATTORNEYS.

### 43 Sec. 1.01. Prerequisites to Engaging in Practice of Law or Law Business.

- 44 No person shall engage in the "practice of law" or the "law business" in Tennessee as defined in Tenn.
- 45 Code Ann. § 23-3-101 and Tenn. Sup. Ct. R. 9, § 10.3(e), except under the authority of the Supreme
- 46 Court, unless the person:

### 47

- 48 (c) is practicing in compliance with Tenn. Sup. Ct. R. 8, RPC 5.5(c), Tenn. Sup. Ct. R. 8, RPC 5.5(d)(2),
- 49 or Tenn. Sup. Ct. R. 19 (pro hac vice).

### 50 Sec. 1.03. Criteria for Issuance of the Certificate of Eligibility.

51 The Board shall issue a Certificate of Eligibility under section 9.01 of this Rule only after determining 52 that the applicant:

53

(e) has demonstrated the reputation, character, honesty, respect for the rights of others, due respect for the
 law, and the fitness to practice law, that in the opinion of the Board indicates no reasonable basis for

- substantial doubts that the applicant will adhere to the standards of conduct required of attorneys in this
- 57 State;
- 58 ...

### 59 Sec. 1.04. Waiver of Examination.

60 The requirement to pass the Tennessee bar examination or provide a passing UBE score may be waived

for an applicant who has been admitted to practice in another state in the United States, the District of

62 Columbia, or a U.S. Territory, provided that the applicant satisfies all requirements for admission without

63 examination as specified in this Rule.

### 64 Sec. 1.07. Tennessee Law Course.

The Tennessee Law Course is intended to provide instruction in specific areas of Tennessee law not addressed by the Uniform Bar Exam.

- 67 68
- (f) The Board shall provide applicants with instructions regarding access to the Tennessee Law Course asfollows:
- (1) Applicants seeking admission under section 3.01 (by examination) shall receive instructions upon
   completion of the bar examination.
- Applicants seeking admission under section 3.05 (transferred UBE score), section 5.01 (without examination) or section 10.06 (spouse of military servicemember) will receive instructions upon receipt of the completed character and fitness investigation from the NCBE.
- 76 (g) The Tennessee Law Course must be successfully completed within one year of the date that the
- applicant completes all other requirements to be eligible for a Tennessee law license. Any applicant who

successfully completes the Tennessee Law Course but does not complete all other requirements for

- eligibility to obtain a law license within such one-year period must repeat the Tennessee Law Coursebefore admission.
- 81

### 82 ARTICLE II. EDUCATIONAL REQUIREMENTS FOR ADMISSION

### 83 Sec. 2.01. Bachelor's Degree.

84 (a) Any applicant seeking admission must have received a Bachelor's Degree or higher from a college on

the approved list of the Southern Association of Colleges and Secondary Schools, or the equivalent

86 regional accrediting association, or any accreditation agency imposing at least substantially equivalent

standards. As part of the application for admission, an applicant shall provide evidence of the degree in

- the form required by the Board.
- (b) To be eligible to take the exam, an applicant shall provide evidence of the degree, earned before theexamination, in the form required by the Board.
- 91 ...

### 92 Sec. 2.02. Legal Education Degree Requirements.

93 (a) Any applicant seeking admission must have completed a course of instruction in and graduated with a

J.D. Degree from a law school accredited by the ABA at the time of applicant's graduation, or a

95 Tennessee law school approved by the Board pursuant to section 17.01 of this Rule at the time of the

- 96 applicant's graduation.
- 97 ...

98 (d) An attorney who received a legal education in the United States or a U.S. Territory but is ineligible for

admission because the law school attended does not meet the requirements of paragraph (a) above may be

- 100 considered for admission by examination or transferred UBE score provided the attorney satisfies the
- 101 following educational, licensing, and practice requirements:
- 102 ...

103 (e) No correspondence course will be accepted by the Board as any part of an applicant's legal education

to meet the requirements of this Rule. Distance, on-line, or other instruction that is not in person will be

- accepted as part of the curriculum at an ABA-accredited or Tennessee-approved law school only to the
- extent permitted by the ABA for accredited law schools without approval of a substantive change, or up
- to 100% of the curriculum for law schools approved by the ABA to offer distance-learning programs. The
- ABA permits distance learning without approval of a substantive change as provided in Definitions 7 and

# 109 8 and Standards 306, 311, and 511 of the Standard and Rules of Procedure for Approval of Law Schools.

### 110 ARTICLE III. APPLICATION FOR ADMISSION BY EXAMINATION SCORE

### 111 Sec. 3.03. Date for Filing Application for Examination or Reexamination.

- 112 The process for submitting an application for admission by examination shall begin on March 1 for the
- 113 July examination and October 1 for the February examination.
- 114 (a) Deadlines:

- (1) The last day to submit an application and pay the fee shall be May 1 for taking the July
   examination and December 1 for taking the February examination (the "Application Deadline").
- (2) The application process shall be completed no later than May 20 for taking the July examination
   and December 20 for taking the February examination (the "Final Deadline").
- (b) For an applicant to be eligible to sit for the bar examination, an applicant must meet the followingdeadlines:
- 121 (1) The correct application must be submitted by the Application Deadline;
- (2) The fee due under the Fee Schedule provided in section 11.01 must be paid by the ApplicationDeadline;
- (3) All supporting documentation required to complete the application process must be submitted tothe Board by the Final Deadline;
- (4) All application steps, including all steps necessary to initiate the background investigation
   required in section 6.03(b) of this Rule, must be completed by the Final Deadline.
- (c) The Board shall detail the application process and list the items necessary for a complete application
   in the Board Policies and Procedures. Steps in the application process shall be listed on the Board's
   website.
- (d) Original documents that must be provided to the Board by a third party must be received on or before
  the deadline. Documents from third parties that must be received by the deadline may be mailed to
  the Board or may be uploaded to a secure portal in accordance with the process established by the
  Board.

(e) Applicants who have not completed the application process by the deadline are ineligible to sit for the
 examination. The only recourse for failure to complete the application process is to reapply for the
 next examination.

- 138 Sec. 3.04. Expiration of Application for Admission on Exam Score.
- (a) An application for admission by examination, re-examination, or transferred UBE score expires andcloses upon the earlier of:
- 141
- (7) six months after the last communication from the Board, following completion of the backgroundinvestigation . . .
- 144 Sec. 3.05. Admission by Transferred Uniform Bar Examination Score.
- (a) Any applicant for admission who has taken the UBE in another jurisdiction may be admitted to thepractice of law in this state by transferred UBE score, upon showing that the applicant:
- 147 (1) has taken the entire UBE in a single administration in another jurisdiction and earned a total UBE

- scaled score equal to or greater than the minimum score required to be achieved by successful
  Tennessee UBE applicants and that such score has not expired as proved in section 4.07(c);
- 150 (2) meets the educational requirements pursuant to sections 2.01 and 2.02;
- 151 (3) is a member in good standing in all jurisdictions in which applicant is currently admitted;
- (4) is not currently subject to lawyer discipline or the subject of a pending disciplinary matter in any
   other jurisdiction;
- (5) meets the Character and Fitness Standard under section 6.01 required of all applicants for
   admission to practice law in this jurisdiction; and
- 156 (6) has not engaged in the unauthorized practice of law in this or any other jurisdiction.
- 157 (b) An applicant who has achieved a UBE scaled score equal to or greater than the minimum score 158 required to be achieved by successful Tennessee UBE applicants that has expired pursuant to section 159 4.07(c), but is not more than five years from the date grades were released in Tennessee for the exam 160 administration for which the score was earned, may apply for admission on transferred UBE score provided the attorney is licensed in another jurisdiction in the United States and has been primarily 161 engaged in the active practice of law, as defined in section 5.01(c) of this Rule, in one or more states or 162 territories of the United States, or the District of Columbia, for three of the five years immediately 163 preceding the date upon which the application is filed or the UBE score expired, whichever is later. An 164 165 applicant seeking admission on a UBE score that was expired at the time application is made or that expires while the application is pending must demonstrate sufficient time in practice prior the final 166 167 expiration of the score, as provided in section 4.07(c).
- 168 (c) An applicant for admission by transferred UBE score shall:
- (1) file an application for admission on transferred UBE score, including character investigation
   information, in the manner established by the Board, including submission of all required
   documents in the appropriate format;
- (2) request transfer of the score from the National Conference of Bar Examiners directly to the
   Tennessee Board of Law Examiners;
- (3) submit a certificate of admission from the highest court of each jurisdiction to which the applicant
   has been admitted;
- (4) submit a certificate of good standing from each jurisdiction to which the applicant has beenadmitted; and
- 178 (5) pay the application fee as adopted pursuant to section 11.01 of this Rule.
- 179 Sec. 4.04. Reserved.
- 180 Sec. 4.07. Grading the Examination and Score Expiration.
- 181 (a) The Board shall continue to maintain procedures which assure that the identity of each applicant in the
- 182 grading process is not known to any person having responsibility for grading or determining whether the
- applicant passes or fails until the grades of all applicants have been finally determined.

(b) The minimum bar examination score required for a successful examination will be adopted as a
 statement of policy and approved by the Supreme Court pursuant to section 12.05 of this Rule.

186 (c) Bar examination scores earned in Tennessee, whether by means of the former Tennessee bar

187 examination or the UBE, are valid to determine eligibility for licensing for three years after the date

188 grades are released. The scores expire after three years. A UBE score transferred to Tennessee is valid for

three years from the date grades were released in Tennessee for the exam administration for which the score was earned unless the UBE score can be used for admission under section 3.05(b). A UBE score

190 score was earned unless the UBE score can be used for admission under section 3.05(b). A UBE score 191 that was earned five or more years from the date grades were released in Tennessee for the exam

administration for which the score was earned is not valid for admission to Tennessee.

(d) In order for an applicant by examination or transferred UBE score to be determined eligible for

licensing pursuant to section 9.01, a score equal to or greater than that required by Tennessee on the

195 Multistate Professional Responsibility Examination ("MPRE") must be achieved no more than two years

before earning a qualifying UBE score that is being used for admission in Tennessee under sections 3.01

197 or 3.05 of this Rule; provided, however, that an applicant who:

- (1) is licensed by examination in another state in the United States, the District of Columbia or a U.S.
   Territory;
- 200 (2) provides certification that the license is active and in good standing; and

(3) achieved a score equal to or greater than the score required by Tennessee on the MPRE two or
 more years before successful completion of the Tennessee bar examination

203 may provide proof of that earlier score to satisfy the MPRE requirement. It is the responsibility of the 204 applicant to cause MPRE score reports to be furnished to the Board. The minimum MPRE score will be 205 adopted as a statement of policy and approved by the Supreme Court pursuant to section 12.05 of this 206 Rule.

### 207 Sec. 4.08. Voluntary Withdrawal from the Examination.

(a) An applicant may withdraw from the examination at any time prior to the start of the examination byproviding written notice of withdrawal from the examination to the Board.

(b) Once the examination begins, an applicant may withdraw from the examination by written notice tothe Board or by failing to appear at any session of the examination.

- (c) An applicant who fails to appear for a session of the examination will be withdrawn from the examand not be permitted to appear for any subsequent session of the examination.
- (d) No one may withdraw from the examination after completing all sections of the examination.
- (e) Refund of fees will be permitted only to the extent provided in section 11.03 of this Rule.
- 216

Sec. 5.01. Minimum Requirements for Admission Without Examination of Persons Admitted in
 Other Jurisdictions.

219

220 (b) Diploma Privilege. An applicant who was admitted and licensed to practice in another state pursuant 221 to a "diploma privilege," which exempts an applicant from taking a bar examination, and who has not been admitted by examination or transferred UBE score in any other state in the United States, the District 222 of Columbia, or a U.S. Territory in which the applicant is in good standing, may seek a waiver of 223 224 subsection (a)(2) by filing a petition with the Board as provided in section 13.02, setting forth the reasons why the applicant should be admitted to practice law in Tennessee. The petition shall include information 225 226 upon which the Board can assess the applicant's reputation, character, knowledge, skills and abilities. The Board shall then conduct a hearing in response to the petition, according to the guidelines set forth in 227 228 section 13.03 of this Rule. After considering the totality of the proof presented, the Board shall make a 229 recommendation to the Supreme Court either for approval or denial of the petition or for such other action as the Board may deem appropriate. Any applicant whose petition for waiver of subsection (a)(2) is 230 denied by the Board may file a petition for review in the Supreme Court pursuant to the procedures set 231 forth in section 14.01. 232

### 233 (c) Active Practice of Law.

- (1) For the purposes of this Rule, in addition to the definitions of "Practice of Law" and "Law
  Business" in section 1.01 of this Rule, the "active practice of law" shall include the following
  activities, if performed in a jurisdiction in which the applicant is admitted, or if performed in a
  jurisdiction that permits such activity by a lawyer not admitted to practice:
- 238 (A) private or public practice of law as a licensed attorney;
- (B) teaching law at a law school approved by the Council of the Section of Legal Education and
   Admissions to the Bar of the American Bar Association;
- 241 (C) service as a judicial law clerk or staff attorney;
- 242 (D) service as a Judge in a federal, state, or local court of record;
- (E) service as Attorney General or Assistant Attorney General, Public Defender, U.S. Attorney,
   District Attorney, or an attorney or general counsel for a local, state, or federal agency,
   including military service;
- (F) service as in-house counsel, provided that the attorney is duly registered under a rule similar to
   section 10.01 of this Rule if required in the jurisdiction in which the services were provided;
   and
- (G) practice as a Military Spouse under a license approved similar to that awarded under section
   10.06 of this Rule in the jurisdiction in which the services were provided.
- (2) For the purposes of this Rule, in addition to the definitions of "Practice of Law" and "Law
  Business" in section 1.01 of this Rule, the "active practice of law" may be construed in the Board's
  discretion as being actively engaged in other employment requiring interpretation of law and
  application of legal knowledge if performed in a jurisdiction in which the applicant is admitted, or
  if performed in a jurisdiction that permits such activity by a lawyer not admitted to practice.
- (3) The Board shall consider such evaluative criteria as time devoted to legal work, the nature of the
   work, whether legal training or a law license was a prerequisite of employment, and other similar
   matters.

- (4) For work to meet the requirement of "active practice of law," the lawyer must have been licensed,
  in active status and in good standing in at least one jurisdiction at the time the work was performed,
  unless the work was performed pursuant to paragraph (c)(1)(B). In no event shall any activities
  performed pursuant to a provision similar to section 10.04 or section 10.07 of this Rule before bar
  admission in a state or territory of the United States or the District of Columbia be accepted toward
  the durational requirement.
- 265

### 266 Sec. 5.03. Expiration of Application for Admission Without Examination.

- 267 (a) An application for admission without examination (comity) expires and closes upon the earlier of:
- 268 ...
- (6) six months after the last communication from the Board, whether sent by mail or electronically,
   which remains unanswered by the applicant.
- 271 ...

### 272 Sec. 5.04. Obligation to Amend.

273 Until an applicant is admitted to the Tennessee bar, or the application is denied by the Board or voluntarily withdrawn, the applicant is under a continuing obligation to update responses to any of the 274 information requested in the application process. Whenever there is an addition or a change to the 275 information previously provided to the Board, the applicant must amend his or her application by filing an 276 277 amendment or supplemental application as prescribed by the Board. An applicant whose application has been on file for two years or more and that has not expired as provided in section 5.03, must submit an 278 application for supplement investigation to the NCBE every two years until such time as the Applicant is 279 admitted, has been denied admission, or has withdrawn the application for admission. 280

281 Sec. 7.01. Eligibility to Take Examination.

282 (a) Substantially Equivalent Foreign Education.

283 (1) An applicant who has completed a course of study in and graduated from a law school in a foreign jurisdiction, which law school was then recognized and approved by the competent accrediting 284 285 agency of such jurisdiction, may qualify, in the discretion of the Board, for admission by bar examination under section 3.01, or for admission by transferred UBE score under section 3.05, 286 provided that the applicant shall satisfy the Board that his or her undergraduate education and legal 287 288 education were substantially equivalent to the requirements of sections 2.01 and 2.02 of this Rule. The applicant shall submit a comprehensive evaluation that includes a course-by-course 289 290 evaluation, determination of equivalency, plus authentication of transcripts ("Foreign-Education Report") from a Credential Evaluation Service that is a member of the National Association of 291 Credential Evaluation Services to enable the Board to determine the applicant's eligibility for such 292 293 admission.

(2) If an applicant's Foreign Education Report does not demonstrate education that is substantially
 equivalent to that required in sections 2.01 and 2.02 of this Rule, but the Board finds that other
 factors may nonetheless qualify the applicant to seek admission by bar examination or by
 transferred UBE score, the Board may transmit the applicant's file and a recommendation to the

298 Court so that the Court may review the file and determine whether to exercise its inherent 299 discretion to permit the application to take the examination or be admitted by transferred UBE 300 score.

301 (b) Non-equivalent Foreign Education. In the alternative, an applicant who has completed a course of

302 study in and graduated from a law school in a foreign jurisdiction, which law school was then recognized

and approved by the competent accrediting agency of such jurisdiction, may qualify, in the discretion of

the Board, for admission by examination under section 3.01, or for admission by transferred UBE score under section 3.05, provided that the applicant shall satisfy the Board that the applicant:

306 ...

### 307 Sec. 10.01. Registration of In-house Counsel.

308 (a) A lawyer who is admitted to the practice of law in another U.S. jurisdiction or is a foreign lawyer and 309 who is employed as a lawyer by an organization, the business of which is lawful and consists of activities other than the practice of law or the provision of legal services, and who has a systematic and continuous 310 presence in this jurisdiction pursuant to Tenn. Sup. Ct. R. 8, RPC 5.5(d)(1), shall complete the 311 312 requirements for registration as in-house counsel within 180 days of the commencement of employment as a lawyer (the "Registration Period"). 313 314 315 (1) A complete application for registration requires submitting to the Board the following: 316 317 (A) The application, including an NCBE Background Investigation Application and all required 318 supporting documents, in the manner established by the Board; 319 320 (B) The fee in the amount set by the Board under section 11.01; 321 322 (C) Certificates of admission to the highest court for each United States and foreign jurisdiction to 323 which the lawyer is admitted; 324 325 (D) Certificates of status and current good standing in all United States and foreign jurisdictions in 326 which the lawyer is admitted to practice law; and 327 328 (E) An affidavit from an officer, director, or general counsel of the employing entity in the form provided by the Board attesting to the lawyer's employment by the entity, the date employment 329 began, and the capacity in which the lawyer is so employed, and stating that the employment 330 331 conforms to the requirements of this Rule. (F) For any documents that are not in English, the lawyer shall submit an English translation and 332 satisfactory proof of the accuracy of the translation. 333 334 (2) The Board shall list the items and steps necessary for a complete application in the Board Policies 335 and Procedures. 336 (3) The lawyer obtains approval by the Board on a registration application that is: 337 (A) completed on or before expiration of the Registration Period as provided in paragraph (a) of this section; or 338 339 (B) completed after expiration of the Registration Period and the late fee as provided in paragraph

340 (h) of this section has been paid.

(4) The Board has the discretion to issue approval after the Registration Period has expired. If the
 application was completed prior to expiration of the Registration Period, the approval shall be
 deemed timely, even if after the expiration of the Registration Period.

(5) For purposes of this Rule, a "foreign lawyer" is a member in good standing of a recognized legal
profession in a foreign jurisdiction, the members of which are admitted to practice as lawyers or
counselors at law or the equivalent and subject to effective regulation and discipline by a duly
constituted professional body or a public authority. Upon recommendation of the Board, the
Supreme Court may allow a foreign lawyer lawfully practicing as in-house counsel in a foreign
jurisdiction who does not meet the above requirements to register as an in-house counsel after
consideration of other criteria, including the lawyer's legal education, references, and experience.

- 351 ...
- 352 (d) A registered lawyer under this section shall:
- (1) Complete the registration process with the Board of Professional Responsibility within thirty days
   of approval of registration by the Board under paragraph (a)(3) of this section;
- 355 (2) Pay all annual fees payable by active members of the bar;
- (3) Fulfill the continuing legal education requirements that are required of active members of the bar;and
- 358 (4) Report to the Board, within thirty days, the following:
- 359 (A) Termination of the lawyer's employment as provided in paragraph (f)(1) of this section;
- 360
- 361 (f) A registered lawyer's rights and privileges under this section automatically terminate when:
- (1) The lawyer's employment with the entity employing the lawyer at the time the lawyer becomes
   registered ends;
- 364 (2) The lawyer is suspended or disbarred from practice in any jurisdiction or any court or agency
   365 before which the lawyer is admitted;
- 366 (3) The lawyer fails to maintain active status in at least one jurisdiction; or
- 367 (4) The lawyer fails to comply with the requirements in paragraph (d)(1) (4), above.
- Upon the occurrence of one or more of the foregoing events, the registered lawyer shall give written
   notice within thirty days of the terminating event to the Board and to the Board of Professional
   Responsibility.
- 371 (g) A registered lawyer whose registration is terminated under paragraph (f)(1) above, may be reinstated
- 372 within 180 days of the end of the lawyer's previous registered employment by completing the
- 373 requirements for reinstatement of registration (the "Reinstatement Period").

374

375 376 377	(1) The complete application for reinstatement of registration requires submitting to the Board the following:
378 379	<ul> <li>(A) The application for reinstatement of registration in a form prescribed by the Board, including all required supporting documents and submitted in the manner established by the Board;</li> </ul>
380	(B) The reinstatement fee set by the Board pursuant to section 11.01;
381	(C) Certificates of Admission and Good Standing as prescribed by the Board; and
382	(D) An affidavit from the current employing entity as prescribed in paragraph (a)(1)(E).
383 384	(2) The Board shall list the items and steps necessary for a complete application for reinstatement of registration in the Board Policies and Procedures.
385 386	(3) The lawyer obtains approval by the Board on a reinstatement application that is completed on or before the expiration of the Reinstatement Period.
387 388 389 390	(4) The Board has the discretion to issue approval after expiration of the Reinstatement Period upon submission of a timely completed reinstatement application. Such approval is timely and the lawyer shall not be considered to have engaged in unauthorized practice of law if the Board approves the timely completed reinstatement application after the Reinstatement Period.
391 392 393 394 395	(5) A lawyer whose employment ends and who does not obtain new in-house counsel employment in Tennessee within 180 days of termination of registration under paragraph (f)(1) of this section, or who obtains new In-house Counsel employment in Tennessee but does not complete the application for reinstatement of registration prior to expiration of the Reinstatement Period, must submit a new application to register as provided in paragraph (a) of this section.
396 397	(h) A lawyer under this Rule who fails to complete the registration application under paragraph (a) of this section prior to expiration of the Registration Period shall be:
398 399	(1) Permitted to register under this section as provided in paragraph (a), above but will be required to pay a late registration fee as provided in the fee schedule established under section 11.01;
400	(2) Subject to professional discipline in this jurisdiction;
401	(3) Ineligible for admission pursuant to section 5.01 of this Rule;
402	(4) Referred by the Board to the Board of Professional Responsibility; and
403	(5) Referred by the Board to the disciplinary authority of the jurisdiction(s) of licensure.
404 405 406 407 408 409	(i) A lawyer's service to the lawyer's employer before timely registration under this Rule shall not constitute the unauthorized practice of law or otherwise be treated as violating Tenn. Sup. Ct. R. 8, RPC 5.5 as long as the services are permitted under this Rule for registered lawyers and the lawyer complies with the requirement to complete the registration application under paragraph (a) of this section before expiration of the Registration Period or to complete the reinstatement application under paragraph (g) of this section before expiration of the Registration of the Reinstatement Period.
410	(j) A lawyer who is eligible to register under this section but who submits an application for admission

- 411 without examination under section 5.01, by examination under section 3.01, by transferred UBE score
- 412 under section 3.05, or as a Spouse of a Military Servicemember under section 10.06, must register to
- 413 practice pending admission under section 10.07 or also register as in-house counsel. The protections of
- 414 paragraph (i) apply only to lawyers who are seeking registration as in-house counsel and do not apply for
- 415 admission under other sections of this Rule.

### 416 Sec. 10.03. Law Student Practice.

417 ..

### 418 (e) Approval by the Supreme Court.

- (1) The dean of the law student's law school or the director shall file a request for approval of a
  qualified law student with the Clerk of the Supreme Court of Tennessee in Nashville on forms and
  in the format required by the Supreme Court.
- 422 (2) Upon a showing that the law student is qualified under the provisions of this Rule, the Supreme
   423 Court shall issue an order approving the law student to practice.
- (3) Upon the entry of the order approving a law student to practice under this Rule, the Board shall
   provide the student with a certificate of registration under this section.
- 426 ...

### 427 (h) Supervision.

- (1) The qualified law student shall be under the immediate and personal supervision of an attorney
  who meets the requirements of paragraph (3), below. If the supervising attorney is not teaching in a
  law school clinic, the attorney must be approved in writing by the dean or director.
- (2) It is the responsibility of the supervising attorney to ensure that the student is properly supervised
  and instructed, including compliance with Tenn. Sup. Ct. R. 8, RPC 5.3, and be present for
  administrative or adjudicatory proceedings; however, it is not necessary that the licensed attorney
  be personally present when the student engages in other activities such as interviewing,
  investigation, drafting and negotiation.
- 436 (3) The supervising attorney must:
- 437 (A) be a lawyer who is admitted to practice, in active status, and in good standing in Tennessee;
- 438 (B) have practiced for a minimum of three years;
- 439 (C) assume professional responsibility for the direct and immediate supervision for the professional
   440 work of the qualified law student; and
- (D) be a full-time employee of an entity identified in paragraph (g)(1)(A)-(E), above, and supervise
   the qualified law student in connection to that employment.
- 443
- 444 Sec. 10.04. Practice before Admission by Examination Score.
- 445 ...

### 446 (c) Supervision.

- (1) The applicant shall be under the immediate and personal supervision of an attorney who meets therequirements of paragraph (3), below.
- (2) It is the responsibility of the supervising attorney to ensure that the applicant is properly supervised
  and instructed including compliance with Tenn. Sup. Ct. R. 8, RPC 5.3, and be present as provided
  in paragraph (d)(2), below; however, it is not necessary that the supervising attorney be present
  when the applicant engages in activities such as interviewing, investigation, drafting, and
  negotiation.
- 454 (3) The supervising attorney must:
- 455 (A) be a lawyer who is admitted to practice, in active status, and in good standing in Tennessee;
- (B) have practiced for a minimum of three years; and
- 457 (C) assume professional responsibility for the direct and immediate supervision for the professional
   458 work of the applicant.
- 459 Sec. 10.07. Practice Pending Admission by Applicant Licensed in Another Jurisdiction.

(a) A lawyer who is licensed to practice law and in good standing in another state in the United States, the
District of Columbia, or a U.S. Territory and who has submitted an application for admission under
section 3.01, 3.05, 5.01, or 10.06 of this Rule may provide legal services in this jurisdiction through an
office or other systematic and continuous presence during the pendency of the application for admission
but for no more than 365 days, provided that the lawyer:

- 465 (1) is not disbarred or suspended from practice in any jurisdiction;
- 466 ...
- 467 (5) associates with a lawyer who is admitted to practice, in active status, and in good standing in
   468 Tennessee;
- 469

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470

### 471 (c) Termination of Right of Practice Pending Admission.

- 472 (1) The right to practice pending admission under this section terminates:
- 474 (A) if the lawyer withdraws the application for admission or if such application is denied;
  - (B) if the lawyer becomes disbarred, suspended, or takes disability inactive status in any other jurisdiction in which the lawyer is licensed to practice law;
  - (C) if a formal complaint is filed with the Board of Professional Responsibility or an indictment filed by the Attorney General's Office in Tennessee against the lawyer;

482 483	(D) if the lawyer fails to register for admission pro hac vice when required;
484	(E) if the lawyer fails to timely provide the written notice required by section 10.07(a)(4); or
485 486 487	(F) in the Board's discretion, if an Order to Show Cause is issued by the Board, based in part on the lawyer's character and fitness to practice law in Tennessee.
488 489 490	(2) Upon termination of the right of practice, the lawyer shall not undertake any new representation that would require the lawyer to be admitted to practice law in this jurisdiction and, within ten days, shall:
491 492 493	(A) cease to occupy an office or other systematic and continuous presence for the practice of law in Tennessee unless authorized to do so pursuant to another Rule;
494 495 496 497	(B) notify all clients being represented in pending matters, and opposing counsel or co-counsel, of the termination of the lawyer's authority to practice pursuant to the authority in this section; and
498	(C) take all other necessary steps to protect the interests of the lawyer's clients.

### 499 Sec. 12.11. Confidentiality of Board Records and Files.

500 (a) Records, statements of opinion, and other information regarding an applicant for admission to the bar 501 communicated by any entity including any person, firm, or institution to the Board or their members, employees, or agents, applications for admission, examination papers and grades, and all investigative 502 503 records of the Board, including, but not limited to, correspondence and/or electronic transmissions to and from the Board, its members and staff, minutes of Board meetings and its deliberations and all 504 505 documents, communications and proceedings prepared in connection with evaluations or investigations of 506 law schools under sections 17.01, 17.02, 17.03, 17.04, 17.05, 17.06, 17.07, and 17.10 of this Rule, whether in paper or electronic form, shall be confidential and shall not be open to inspection without written 507 application to and authorization by an appropriate order of the Supreme Court. For examination 508 applicants who are unsuccessful on an examination, the Board is permitted to release to the applicant 509 510 answers to the performance test and essay questions for that examination. The Board shall specify the process for obtaining the answers in the Board Policies and Procedures. 511

(b) The Board is authorized to release information that would otherwise be confidential to disciplinary or
 law enforcement agencies of any jurisdiction, the Tennessee Lawyer Assistance Program, and to the
 Board of Professional Responsibility upon written request. The Board may release information that is

515 otherwise confidential as follows:

516 ...

517 Sec. 13.01. Show Cause Orders.

518 ...

(b) Response to Show Cause Order. The applicant's reply to the Show Cause Order shall be in writing,under oath, and may include such additional affidavits or other documents as the applicant may choose to

- 521 furnish.
- 522 Sec. 17.01. Tennessee Law Schools.

523 ...

### 524 (g) Substandard Law Schools.

(1) Any law school located in or seeking to locate in Tennessee (whether offering a full-time or part time in-person or distance-learning curriculum), which permits the enrollment of students without first
 having obtained the written approval of the Supreme Court as provided in section 17.01, shall be

528 classified as a substandard school.

529

530

### **Exhibit C: Service List**

Tennessee Bar Association Belmont University College of Law Lincoln Memorial University School of Law Nashville School of Law University of Memphis School of Law University of Tennessee School of Law Vanderbilt University Law School Board of Professional Responsibility Tennessee Lawyers Assistance Program Commission on Continuing Legal Education jstevenson@tnbar.org alberto.gonzales@belmont.edu matthew.lyon@lmunet.edu bill.koch@nsl.law ktschffz@memphis.edu lbrow139@utk.edu Chris.guthrie@vanderbilt.edu sgarrett@tbpr.org buddy.stockwell@tncourts.gov michele.wojciechowski@cletn.com