



James M. Hivner, Clerk

401 Seventh Avenue, North

Nashville, TN 37219-1407

615-253-1470

## STATE OF TENNESSEE BOARD OF JUDICIAL CONDUCT

Mailing Address: P.O.Box 92276 Nashville, TN 37209

MEMBERS OF THE TENNESSEE BOARD OF JUDICIAL CONDUCT

Dee David Gay Board Chair

Andrew G. Brigham Vice Chair

Marshall L. Davidson, III Disciplinary Counsel

Shane Hutton Assistant Disciplinary Counsel

Jeffrey M. Atherton H. Allen Bray Robert Carter, Jr. Edwena L. Crowe Rita Ellison William C. Koch, Jr. Camille R. McMullen Albert Mosley Benjamin Purser, Jr. Richard Rogers Dan Springer Terica Smith John Whitworth Robert W. Wilkinson February 22, 2021

Judiciary Committee of the Senate Senator Mike Bell 716 Cordell Hull Building Nashville, TN 37243

Dear Senator Bell:

Please find enclosed the statistical report of the Board of Judicial Conduct covering filings and dispositions for the month of January, 2021, as mandated by Tenn. Code Ann. § 17-5-202.

If you have any questions or concerns, please contact me.

Board Chair

DDG/sp Enclosure





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February 22, 2021

Judiciary Committee of the House Representative Michael Curcio 630 Cordell Hull Building Nashville, TN 37243

Dear Representative Curcio:

Please find enclosed the statistical report of the Board of Judicial Conduct covering filings and dispositions for the month of January, 2021, as mandated by Tenn. Code Ann. § 17-5-202.

If you have any questions or concerns, please contact me.

DDG/sp Enclosure

## BOARD OF JUDICIAL CONDUCT MONTHLY REPORT FOR JANUARY 2021

FILED
FEB 22 2021
Clerk of the Appointing Courts
Rec'd By Lmm
ADM 2021-00010

The number and category of complaints OPENED<sup>1</sup>:

<u>]</u>	<u>THIS</u>	
<u>M</u> (	<u>HTMC</u>	YEAR-TO-DATE
A) Failure to comply with law:	12	12
B) Bias, prejudice and unfairness:	2	2
C) Discourtesy:	0	0
D) Abuse of office:	6	6
E) Delay:	5	5
F) Ex parte communications:	0	0
G) Disability:	0	0
H) Political violation:	0	0
I) Recusal:	0	0
J) Miscellaneous:	1	<u>_1</u>
TOTAL <sup>2</sup> :	26	26

The number and category of complaints CLOSED:

	<u>THIS</u>	
· <u>N</u>	<u>IONTH</u>	YEAR-TO-DATE
A) Failure to comply with law:	24	24
B) Bias, prejudice and unfairness:	3	3
C) Discourtesy:	3	3
D) Abuse of office:	4	4
E) Delay:	3	3
F) Ex parte communications:	0	0
G) Disability:	0	0
H) Political violation:	0	0
I) Recusal:	0	0
J) Miscellaneous:	0	0
TOTAL	37	<del>37</del>

<sup>1.</sup> A single complaint naming several judges is counted as a separate complaint against each judge. A complaint whose allegations encompass more than one category is listed under the most serious category alleged. On March 13, 2020, the Tennessee Supreme Court declared a state of Emergency for the Judicial Branch of Tennessee government and suspended in-person court proceedings, with certain exceptions through March 31, 2020. See In re: Covid-19 Pandemic, No. ADM2020-00428 (Tenn. 2020). On March 25, 2020, the Tennessee Supreme Court continued the suspension of in-court proceedings through April 30, 2020. Id. On April 24, 2020, the Tennessee Supreme Court modified the prior suspension of in-person proceedings. See In re: Covid-19 Pandemic, No. ADM2020-00428 (Tenn. April 24, 2020).

<sup>2.</sup> Out of the 26 total complaints filed, 46% were filed by inmates. Similarly, out of the 26 complaints, 88% were filed by the party that lost in the underlying proceeding.

## DISPOSITION of the complaints closed by CATEGORY:

	<u>THIS</u> MONTH	YEAR-TO- <u>DATE</u>
A) Failure to comply with law	MONTH	TEAK-TO-DATE
Dismissed, complaint about court ruling	2 20	20
Dismissed, no jurisdiction	-	0
Dismissed, inadequate factual basis		4
Dismissal with warning		0
Dismissal after full investigation		0
Deferred discipline agreement		0
Private reprimand		0
Public reprimand		0
Other		0
Retired complaint, no longer a judge		0
Suspension		0
Recommendation of removal from office		0
TOTAL		24
	<u>THIS</u>	VEAD TO DATE
	<u>MONTH</u>	YEAR-TO-DATE
B) Bias, prejudice and unfairness:		2
Dismissed, complaint about court rulin		2
Dismissed, no jurisdiction		0
Dismissed, inadequate factual basis		Λ
		0
Dismissal with warning	0	0
Dismissal after full investigation	0 0	0
Dismissal after full investigation Deferred discipline agreement	0 0 0	0 0 0
Dismissal after full investigation Deferred discipline agreement Private reprimand	0 0 0	0 0 0 0
Dismissal after full investigation Deferred discipline agreement Private reprimand Public reprimand	0 0 0	0 0 0 0
Dismissal after full investigation Deferred discipline agreement Private reprimand Public reprimand Other	0 0 0 0	0 0 0 0 0
Dismissal after full investigation Deferred discipline agreement Private reprimand Public reprimand Other Retired complaint, no longer a judge	0 0 0 0	0 0 0 0 0 1
Dismissal after full investigation Deferred discipline agreement Private reprimand Public reprimand Other Retired complaint, no longer a judge Suspension	0 0 0 0 1 0	0 0 0 0 0
Dismissal after full investigation Deferred discipline agreement Private reprimand Public reprimand Other Retired complaint, no longer a judge	0 0 0 0 1 0	0 0 0 0 0 1

	THIS	
<u> </u>	HTMON	YEAR-TO-DATE
C) Discourtesy:		
Dismissed, complaint about court ruling	1	1
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	0
Dismissal with warning		2
Dismissal after full investigation		0
Deferred discipline agreement		0
Private reprimand		0
Public reprimand	0	0
Other		0
Retired complaint, no longer a judge	0	0
Suspension		0
Recommendation of removal from office		0
TOTAL	3	3

<u>T</u>	<u>HIS</u>	
MO	NTH	YEAR-TO-DATE
D) Abuse of office:		
Dismissed, complaint about court ruling	1	1
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	3	3
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Public reprimand	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	<u>0</u>	<u>0</u>
TOTAL	4	4

	THIS	
	<b>MONTH</b>	YEAR-TO-DATE
E) Delay:		
Dismissed, complaint about court ruling	g 0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	2	2
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Public reprimand	0	0
Other	1	1
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	e <u>0</u>	_0
TOTAL	3	3

<u>j</u>	THIS	
<u>M</u>	ONTH	YEAR-TO-DATE
F) Ex parte communications:		
Dismissed, complaint about court ruling	- 0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	- 0	0
Dismissal with warning	- 0	0
Dismissal after full investigation	. 0	0
Deferred discipline agreement	- 0	0
Private reprimand		0
Public reprimand	- 0	0
Other	- 0	0
Retired complaint, no longer a judge	- 0	0
Suspension		0
Recommendation of removal from office	. 0	_0
TOTAL		0

<u>T</u>	<u>`HIS</u>	
<u>M0</u>	<u>NTH</u>	YEAR-TO-DATE
G) Disability:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	0
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Public reprimand	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	0	0

	THIS		
<u> </u>	MONTH	YEAR-TO-DATE	3
H) Political violation:			
Dismissed, complaint about court ruling-	0	0	
Dismissed, no jurisdiction	0	0	
Dismissed, inadequate factual basis	0	0	
Dismissal with warning	0	0	
Dismissal after full investigation	0	0	
Deferred discipline agreement	0	0	
Private reprimand	0	0	
Public reprimand	0	0	
Other		0	
Retired complaint, no longer a judge	0	0	
Suspension	0	0	
Recommendation of removal from office	<u>0</u>	_0	
TOTAL	0	0	

	HIS NTH	YEAR-TO-DATE
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction		0
Dismissed, inadequate factual basis		0
Dismissal with warning		Ŏ
Dismissal after full investigation		0
Deferred discipline agreement		Ŏ
Private reprimand		0
Public reprimand		0
Other		0
Retired complaint, no longer a judge	•	0
Suspension	0	0
Recommendation of removal from office		0
TOTAL	0	0
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<u></u>	<u>HIS</u>	
<del></del>	HIS NTH	YEAR-TO-DATE
J) Miscellaneous:	NTH	YEAR-TO-DATE
<u>MO</u>	NTH	YEAR-TO-DATE 0
J) Miscellaneous:	NTH 0	
J) Miscellaneous: Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis	NTH 0 0 0	0
J) Miscellaneous: Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning	NTH 0 0 0 0	0 0
J) Miscellaneous: Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning Dismissal after full investigation	NTH 0 0 0 0 0	0 0 0
J) Miscellaneous: Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning Dismissal after full investigation Deferred discipline agreement	NTH  0 0 0 0 0 0 0 0	0 0 0 0
J) Miscellaneous: Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning Dismissal after full investigation Deferred discipline agreement Private reprimand	NTH  0 0 0 0 0 0 0 0 0 0	0 0 0 0 0
J) Miscellaneous:  Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning Dismissal after full investigation Deferred discipline agreement Private reprimand	NTH  0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0
J) Miscellaneous:  Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning Dismissal after full investigation Deferred discipline agreement Private reprimand Public reprimand Other	NTH  0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0
J) Miscellaneous:  Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning Dismissal after full investigation Private reprimand Private reprimand Public reprimand Retired complaint, no longer a judge	NTH  0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0
J) Miscellaneous:  Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning Dismissal after full investigation Private reprimand Private reprimand Public reprimand Retired complaint, no longer a judge Suspension	NTH  0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0
J) Miscellaneous:  Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning Dismissal after full investigation Private reprimand Private reprimand Public reprimand Retired complaint, no longer a judge	NTH  0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0