



Michael W. Catalano, Clerk

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100 Supreme Court Building

## THE TENNESSEE BOARD OF JUDICIAL CONDUCT

511 Union Street Suite 600 Nashville, TN 37219

MEMBERS OF THE TENNESSEE BOARD OF JUDICIAL CONDUCT

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Russell Humphrey Chief Clerk of the Senate Office of the Chief Clerk State Capitol, 2<sup>nd</sup> Floor Nashville, TN 37243 Joe McCord Chief Clerk of the House Office of the Chief Clerk State Capitol, 2<sup>nd</sup> Floor Nashville, TN 37243

Dear Chief Clerks:

Please find enclosed the statistical report of the Board of Judicial Conduct covering filings and dispositions for the month of March, 2014, as mandated by Tenn. Code Ann. § 17-5-207.

Sincerely,

CHRIS CRAF Board Chair

CC/bep

# FILED

APR 21 2014

Clerk of the Courts Rec'd By \_\_\_\_

#### BOARD OF JUDICIAL CONDUCT MONTHLY REPORT FOR MARCH, 2014

The number and category of complaints OPENED<sup>1</sup>:

	<u>THIS</u>	THIS FISCAL
<u>M</u>	<u>ONTH</u>	<b>YEAR</b>
A) Failure to comply with law:	- 19	222
B) Bias, prejudice and unfairness:	1	10
C) Discourtesy:	1	5
D) Abuse of office:	5	21
E) Delay:	4	28
F) Ex parte communications:	1	5
G) Disability:	0	3
H) Political violation:	2	10
I) Recusal:	1	9
J) Miscellaneous:	<u>0</u>	<u>6</u>
TOTAL	34	319

The number and category of complaints CLOSED:

	<u>THIS</u> MONTH	THIS FISCAL YEAR
A) Failure to comply with law:		220
B) Bias, prejudice and unfairness:	0	15
C) Discourtesy:	1	9
D) Abuse of office:	- 3	11
E) Delay:	- 2	27
F) Ex parte communications:	1	5
G) Disability:	- 0	2
H) Political violation:	0	6
I) Recusal:	0	9
J) Miscellaneous:	<u>5</u>	_5
TOTAL	31	309

### RECEIVED

APR 21 2014

Clerk of the Courts Rec'd By

<sup>1.</sup> A single complaint naming several judges is counted as a separate complaint against each judge. A complaint whose allegations encompass more than one category is listed under the most serious category alleged.

# DISPOSITION of the complaints closed by CATEGORY:

	<u>THIS</u> MONTH	THIS FISCAL YEAR
A) Failure to comply with law:		••=
Dismissed, complaint about court ruling		207
Dismissed, no jurisdiction		3
Dismissed, inadequate factual basis		2
Dismissal with warning		3
Dismissal after full investigation	0	0
Deferred discipline agreement		0
Private reprimand	0	0
Private censure		0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	5
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office		_0
TOTAL	19	220
B) Bias, prejudice and unfairness:	THIS MONTH	THIS FISCAL YEAR
Dismissed, complaint about court ruling	<u>MONTH</u>	YEAR 0
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0	<u>YEAR</u> 0 0
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0	YEAR  0 0 9
Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning	MONTH 0 0 0 0	YEAR  0 0 9 0
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0	YEAR  0 0 9 0
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0	YEAR  0 0 9 0 0 0 0
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0 0	YEAR  0 0 9 0 0 0 0 0 0
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0 0 0	YEAR  0 0 9 0 0 0 0 0 0 0
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0 0 0 0 0	YEAR  0 0 9 0 0 0 0 0 0 0 0 0
Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning Dismissal after full investigation Private reprimand Private censure Public reprimand Public censure	MONTH 0 0 0 0 0 0 0 0 0	YEAR  0 0 9 0 0 0 0 0 0 1
Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning Dismissal after full investigation Private reprimand Private censure Public reprimand Public censure Other	MONTH 0 0 0 0 0 0 0 0 0 0	YEAR  0 0 0 9 0 0 0 0 0 0 1 0
Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning Dismissal after full investigation Deferred discipline agreement Private reprimand Private censure Public reprimand Public reprimand Public censure Public censure Other Retired complaint, no longer a judge	MONTH 0 0 0 0 0 0 0 0 0 0	YEAR  0 0 0 9 0 0 0 0 0 1 0 5
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0 0 0 0 0 0 0	YEAR  0 0 0 9 0 0 0 0 0 0 1 0 5 0
Dismissed, complaint about court ruling Dismissed, no jurisdiction Dismissed, inadequate factual basis Dismissal with warning Dismissal after full investigation Private reprimand Private censure Public reprimand Public censure Cease and Desist Order Suspension	MONTH 0 0 0 0 0 0 0 0 0 0 0	YEAR  0 0 0 9 0 0 0 0 0 0 1 0 5 0 0
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0 0 0 0 0 0 0	YEAR  0 0 0 9 0 0 0 0 0 0 1 0 5 0

<u>T</u> <u>MO</u>	<u>HIS</u> NTH	THIS FISCAL YEAR
C) Discourtesy:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	1	1
Dismissed, inadequate factual basis	0	4
Dismissal with warning	0	1
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	3
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	0
TOTAL	1	9

]	<u>rhis</u>	THIS FISCAL
<u>M</u> (	<u>ONTH</u>	<u>YEAR</u>
D) Abuse of office:		
Dismissed, complaint about court ruling	- 0	0
Dismissed, no jurisdiction	. 0	0
Dismissed, inadequate factual basis	- 3	9
Dismissal with warning	- 0	0
Dismissal after full investigation	. 0	0
Deferred discipline agreement	. 0	0
Private reprimand	- 0	1
Private censure		0
Public reprimand	- 0	1
Public censure	- 0	0
Other	- 0	0
Retired complaint, no longer a judge	- 0	0
Cease and Desist Order	0	0
Suspension	- 0	0
Recommendation of removal from office	· <u>0</u>	_0
TOTAL	3	11

<u>TI</u> <u>MO</u>	HIS NTH	THIS FISCAL YEAR
E) Delay:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	2	24
Dismissal with warning	0	1
Dismissal after full investigation	0	0
Deferred discipline agreement	0	1
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	1
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	2	27

<u>T</u> <u>M</u> C	<u>THIS</u> DNTH	THIS FISCAL YEAR
F) Ex parte communications:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	- 0	0
Dismissed, inadequate factual basis	1	1
Dismissal with warning	0	2
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	- 0	2
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	1	5

	<u>THIS</u> MONTH	THIS FISCAL YEAR
G) Disability:		
Dismissed, complaint about court ruling-	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	2
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand		0
Private censure		0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Cease and Desist Order		0
Suspension	0	0
Recommendation of removal from office		_0
TOTAL	0	2

<u>T</u> MC	<u>HIS</u> NTH	THIS FISCAL YEAR
H) Political violation:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	1
Dismissal with warning	0	4
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Cease and Desist Order	0	1
Suspension	0	0
Recommendation of removal from office	0	<u>0</u>
TOTAL	0	6

<u>THIS</u> <u>MONTH</u>	THIS FISCAL YEAR
I) Recusal:	
Dismissed, complaint about court ruling 0	0
Dismissed, no jurisdiction 0	0
Dismissed, inadequate factual basis 0	9
Dismissal with warning 0	0
Dismissal after full investigation 0	0
Deferred discipline agreement 0	0
Private reprimand 0	0
Private censure 0	0
Public reprimand 0	0
Public censure 0	0
Other 0	0
Retired complaint, no longer a judge 0	0
Suspension 0	0
Recommendation of removal from office 0	_0
$\overline{\text{TOTAL}}$ 0	9
THIS MONTH  J) Miscellaneous: Dismissed, complaint about court ruling 0	THIS FISCAL YEAR 0
Dismissed, no jurisdiction 0	0
Dismissed, inadequate factual basis 5	5
Dismissal with warning 0	0
Dismissal after full investigation 0	0
Deferred discipline agreement 0	0
Private reprimand 0	0
Private censure 0	0
Public reprimand 0	0
Public censure 0	0
Other 0	0
Retired complaint, no longer a judge 0	0
Suspension 0	0
Recommendation of removal from office 0	_0