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Clerk of the Courts Rec'd By

Michael W. Catalano, Clerk

100 Supreme Court Building

401 Seventh Avenue, North

Nashville, TN 37219-1407

615-253-1470

THE TENNESSEE BOARD OF JUDICIAL CONDUCT

511 Union Street Suite 600 Nashville, TN 37219

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raft February 18, 2014

Russell Humphrey Chief Clerk of the Senate Office of the Chief Clerk State Capitol, 2nd Floor Nashville, TN 37243

Dear Chief Clerks:

Joe McCord Chief Clerk of the House Office of the Chief Clerk State Capitol, 2nd Floor

Nashville, TN 37243

Please find enclosed the statistical report of the Board of Judicial Conduct covering filings and dispositions for the month of January, 2014, as mandated by Tenn. Code Ann. § 17-5-207(a).

Please also find enclosed the Quarterly Report for the second quarter of this fiscal year, as mandated by Tenn. Code Ann. § 17-5-207(b). Although it is not due to be filed until March 20, 2014, we have been able to complete that statistical analysis early and felt you should be sent this report as soon as it was available.

Sincerely,

CHRIS CRAFT Board Chair

CC/bep

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FEB **2 0** 2014

Clerk of the Courts

BOARD OF JUDICIAL CONDUCT MONTHLY REPORT FOR JANUARY, 2014

The number and category of complaints OPENED¹:

	<u>THIS</u>	THIS FISCAL
<u> 1</u>	<u>MONTH</u>	<u>YEAR</u>
A) Failure to comply with law:	19	181
B) Bias, prejudice and unfairness:	1	7
C) Discourtesy:	0	4
D) Abuse of office:	0	13
E) Delay:	2	23
F) Ex parte communications:	1	3
G) Disability:	0	3
H) Political violation:	. 0	5
I) Recusal:	. 0	7
J) Miscellaneous:	· <u>1</u>	<u>2</u>
TOTAL	24	248

The number and category of complaints CLOSED:

	THIS	THIS FISCAL
	MONTH	YEAR
A) Failure to comply with law:	13	177
B) Bias, prejudice and unfairness:	1	14
C) Discourtesy:	0	8
D) Abuse of office:	3	8
E) Delay:	2	22
F) Ex parte communications:	0	4
G) Disability:	0	2
H) Political violation:	· 1	5
I) Recusal:	0	9
J) Miscellaneous:	<u> </u>	_0
TOTAL	20	249

^{1.} A single complaint naming several judges is counted as a separate complaint against each judge. A complaint whose allegations encompass more than one category is listed under the most serious category alleged.

DISPOSITION of the complaints closed by CATEGORY:

THI	S THIS FIS	<u>CAL</u>
MON	TH YEAR	2
A) Failure to comply with law:		
Dismissed, complaint about court ruling 12	166	
Dismissed, no jurisdiction 0	2	
Dismissed, inadequate factual basis 0	2	
Dismissal with warning 1	2	
Dismissal after full investigation 0	0	
Deferred discipline agreement 0	0	
Private reprimand 0	0	
Private censure 0	0	
Public reprimand 0	0	
Public censure 0	0	
Other 0	0	
Retired complaint, no longer a judge 0	5	
Cease and Desist Order 0	0	
Suspension 0	0	
Recommendation of removal from office 0	_0	
TOTAL 13	3 177	

_ :	THIS ONTH	THIS FISCAL YEAR
B) Bias, prejudice and unfairness:		
Dismissed, complaint about court ruling	- 0	0
Dismissed, no jurisdiction	- 0	0
Dismissed, inadequate factual basis	- 1	8
Dismissal with warning	- 0	0
Dismissal after full investigation	- 0	0
Deferred discipline agreement	- 0	0
Private reprimand	- 0	0
Private censure	- 0	0
Public reprimand	- 0	0
Public censure	- 0	1
Other	- 0	0
Retired complaint, no longer a judge	- 0	5
Cease and Desist Order		0
Suspension	- 0	0
Recommendation of removal from office	0	_0
TOTAL	1	14

<u>T</u>	<u>HIS</u>	THIS FISCAL
<u>MC</u>	NTH	<u>YEAR</u>
C) Discourtesy:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	4
Dismissal with warning	0	1
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	3
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	0
TOTAL	0	8

	<u>THIS</u>	THIS FISCAL
$\underline{\mathbf{N}}$	<u>IONTH</u>	<u>YEAR</u>
D) Abuse of office:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	2	6
Dismissal with warning	0	0
Dismissal after full investigation	- 0	0
Deferred discipline agreement	0	0
Private reprimand	1	1
Private censure	0	0
Public reprimand	0	1
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Cease and Desist Order		0
Suspension	0	0
Recommendation of removal from office -	<u>0</u>	_0
TOTAL	3	8

M	<u>THIS</u> ONTH	THIS FISCAL YEAR
E) Delay:		
Dismissed, complaint about court ruling	- 0	0
Dismissed, no jurisdiction	- 0	0
Dismissed, inadequate factual basis	- 1	20
Dismissal with warning	- 1	1
Dismissal after full investigation	- 0	0
Deferred discipline agreement	- 0	0
Private reprimand		0
Private censure		0
Public reprimand	- 0	0
Public censure	- 0	0
Other	- 0	0
Retired complaint, no longer a judge	0	1
Cease and Desist Order	0	0
Suspension	- 0	0
Recommendation of removal from office -	<u> </u>	_0
TOTAL	2	22

<u>T1</u>	<u>HIS</u>	THIS FISCAL
<u>MO</u>	<u>NTH</u>	<u>YEAR</u>
F) Ex parte communications:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	0
Dismissal with warning	0	2
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	2
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	0	4

<u>T</u> MC	<u>HIS</u> NTH	THIS FISCAL YEAR
G) Disability:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	2
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	0	2

<u> </u>	<u>HIS</u> DNTH	THIS FISCAL YEAR
H) Political violation:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	0
Dismissal with warning	1	4
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure		0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Cease and Desist Order		1
Suspension	0	0
Recommendation of removal from office		0
TOTAL	1	5

T	HIS	THIS FISCAL
$\overline{\mathrm{MC}}$	NTH	YEAR
I) Recusal:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	9
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	0	9

$\underline{\mathbf{T}}$	<u>HIS</u>	THIS FISCAL
<u>MC</u>	<u>NTH</u>	<u>YEAR</u>
J) Miscellaneous:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	0
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand		0
Public censure	0	0
Other	. 0	0
Retired complaint, no longer a judge	0	0
Suspension		0
Recommendation of removal from office	0	_0
TOTAL	0	0