

DEC 1 6 2013

Michael W. Catalano, Clerk

100 Supreme Court Building

401 Seventh Avenue, North

Nashville, TN 37219-1407

615-253-1470

## THE TENNESSEE BOARD OF JUDICIAL CONDUCT

511 Union Street Suite 600 Nashville, TN 37219

MEMBERS OF THE TENNESSEE BOARD OF JUDICIAL CONDUCT

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December 13, 2013

Russell Humphrey Chief Clerk of the Senate Office of the Chief Clerk State Capitol, 2<sup>nd</sup> Floor Nashville, TN 37243

Joe McCord Chief Clerk of the House Office of the Chief Clerk State Capitol, 2<sup>nd</sup> Floor Nashville, TN 37243

Dear Chief Clerks:

Please find enclosed the statistical report of the Board of Judicial Conduct covering filings and dispositions for the month of November, 2013, as mandated by Tenn. Code Ann. § 17-5-207.

Sincerely,

CHRIS CRAFT Board Chair

CC/bep

## BOARD OF JUDICIAL CONDUCT MONTHLY REPORT FOR NOVEMBER, 2013

The number and category of complaints OPENED<sup>1</sup>:

	<u>THIS</u>	THIS FISCAL
<u>N</u>	<u> 10NTH</u>	<u>YEAR</u>
A) Failure to comply with law:	- 34	142
B) Bias, prejudice and unfairness:	1	5
C) Discourtesy:	0	4
D) Abuse of office:	2	13
E) Delay:	0	18
F) Ex parte communications:	0	2
G) Disability:	2	3
H) Political violation:	0	2
I) Recusal:	3	7
J) Miscellaneous:	<u>0</u>	<u>1</u>
TOTAL	42	197

The number and category of complaints CLOSED:

	<b>THIS</b>	THIS FISCAL
	<b>MONTH</b>	<u>YEAR</u>
A) Failure to comply with law:	- 28	138
B) Bias, prejudice and unfairness:	1	10
C) Discourtesy:	0	8
D) Abuse of office:	- 2	4
E) Delay:	- 0	18
F) Ex parte communications:	0	3
G) Disability:	- 2	2
H) Political violation:	0	2
I) Recusal:	2	7
J) Miscellaneous:	<u> </u>	_0
TOTAL	35	192

<sup>1.</sup> A single complaint naming several judges is counted as a separate complaint against each judge. A complaint whose allegations encompass more than one category is listed under the most serious category alleged.

## DISPOSITION of the complaints closed by CATEGORY:

<u>THIS</u>	THIS FISCAL
<u>MONTH</u>	<u>YEAR</u>
A) Failure to comply with law:	
Dismissed, complaint about court ruling26	128
Dismissed, no jurisdiction 0	2
Dismissed, inadequate factual basis 0	2
Dismissal with warning 1	1
Dismissal after full investigation 0	0
Deferred discipline agreement 0	0
Private reprimand 0	0
Private censure 0	0
Public reprimand 0	0
Public censure 0	· <b>0</b>
Other 0	0
Retired complaint, no longer a judge 1	5
Cease and Desist Order 0	0
Suspension 0	0
Recommendation of removal from office 0	0_
TOTAL 28	138

<u>T1</u> MO	<u>HIS</u> NTH	THIS FISCAL YEAR
B) Bias, prejudice and unfairness:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	1	5
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	5
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	1	10

<u>T</u>	<u>HIS</u>	THIS FISCAL
<u>MC</u>	<u>NTH</u>	<u>YEAR</u>
C) Discourtesy:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	4
Dismissal with warning	0	1
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	3
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	0	8

	<b>THIS</b>	THIS FISCAL
<u>N</u>	<u>MONTH</u>	YEAR
D) Abuse of office:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	2	4
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Cease and Desist Order	- 0	0
Suspension	0	0
Recommendation of removal from office	<u>0</u>	_0
TOTAL	2	4

<u>T</u>	<u>HIS</u>	THIS FISCAL
<u>MC</u>	<u>NTH</u>	<u>YEAR</u>
E) Delay:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	17
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	1
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	0	18

	<u>THIS</u>	THIS FISCAL
<u>N</u>	MONTH	<u>YEAR</u>
F) Ex parte communications:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	0
Dismissal with warning	0	1
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	2
Cease and Desist Order	- 0	0
Suspension	0	0
Recommendation of removal from office	<u>0</u>	_0
TOTAL	0	3

<u>T</u>	<u>HIS</u>	THIS FISCAL
<u>MC</u>	<u>ONTH</u>	<u>YEAR</u>
G) Disability:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	2	2
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	2	2

,	THIS	THIS FISCAL
<u>N</u>	MONTH	<u>YEAR</u>
H) Political violation:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	0
Dismissal with warning	0	1
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Cease and Desist Order	- 0	1
Suspension	0	0
Recommendation of removal from office	<u> </u>	0
TOTAL	$\overline{0}$	$\frac{\overline{2}}{2}$

<u>T</u>	<u> HIS</u>	THIS FISCAL
<u>M</u> 0	<u>ONTH</u>	<u>YEAR</u>
I) Recusal:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	2	7
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	2	7

	<u>THIS</u> MONTH	THIS FISCAL YEAR
J) Miscellaneous:		
Dismissed, complaint about court ruling	g 0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	0
Dismissal with warning	· 0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from offic	e <u>0</u>	_0
TOTAL	0	0