

FILED

SEP 1 9 2013

Clerk of the Courts

## THE TENNESSEE **BOARD OF JUDICIAL CONDUCT**

511 Union Street Suite 600 Nashville, TN 37219

September 17, 2013

Michael W. Catalano, Clerk 100 Supreme Court Building 401 Seventh Avenue, North Nashville, TN 37219-1407 615-253-1470

MEMBERS OF THE TENNESSEE **BOARD OF JUDICIAL CONDUCT** 

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Joe McCord Chief Clerk of the House Office of the Chief Clerk State Capitol, 2<sup>nd</sup> Floor Nashville, TN 37243

Dear Chief Clerks:

Please find enclosed the statistical report of the Board of Judicial Conduct covering filings and dispositions for the month of August, 2013, as mandated by Tenn. Code Ann. § 17-5-207.

Sincerely,

**Board Chair** 

CC/bep

## BOARD OF JUDICIAL CONDUCT MONTHLY REPORT FOR AUGUST, 2013

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The number and category of complaints OPENED<sup>1</sup>:

	<b>THIS</b>	THIS FISCAL
$\underline{\mathbf{N}}$	<u> 10NTH</u>	<u>YEAR</u>
A) Failure to comply with law:	20	45
B) Bias, prejudice and unfairness:	1	3
C) Discourtesy:	2	4
D) Abuse of office:	4	5
E) Delay:	6	10
F) Ex parte communications:	1	1
G) Disability:	0	0
H) Political violation:	0	1
I) Recusal:	0	0
J) Miscellaneous:	<u>0</u>	<u>1</u>
TOTAL	34	70

The number and category of complaints CLOSED:

Λ	<u>THIS</u> MONTH	THIS FISCAL YEAR
A) Failure to comply with law:	23	48
B) Bias, prejudice and unfairness:	4	5
C) Discourtesy:	2	2
D) Abuse of office:	1	2
E) Delay:	4	6
F) Ex parte communications:	1	2
G) Disability:	0	0
H) Political violation:	0	1
I) Recusal:	0	2
J) Miscellaneous:	_0	_0
TOTAL	35	68

<sup>1.</sup> A single complaint naming several judges is counted as a separate complaint against each judge. A complaint whose allegations encompass more than one category is listed under the most serious category alleged.

## DISPOSITION of the complaints closed by CATEGORY:

<del></del> -	<u>HIS</u> NTH	THIS FISCAL YEAR
A) Failure to comply with law:		TEM
Dismissed, complaint about court ruling1	9	44
Dismissed, no jurisdiction	1	1
Dismissed, inadequate factual basis	1	1
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	2	2
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	23	48

	<u>THIS</u> MONTH	THIS FISCAL YEAR
B) Bias, prejudice and unfairness:		<del></del>
Dismissed, complaint about court ruling	g 0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis		4
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	1	1
Suspension	0	0
Recommendation of removal from offic	e <u>0</u>	_0
TOTAL	4	5

<u>T</u>	<u>HIS</u>	THIS FISCAL
<u>MC</u>	<u>NTH</u>	<u>YEAR</u>
C) Discourtesy:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	1	1
Dismissal with warning	1	1
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	2	2

<u>-</u>	<u>THIS</u> DNTH	THIS FISCAL YEAR
D) Abuse of office:		12111
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis		2
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	0	0
TOTAL	1	

<u>T</u>	<u>HIS</u>	THIS FISCAL
<u>MC</u>	NTH	<u>YEAR</u>
E) Delay:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	4	6
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand		0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	4	6

	<u>THIS</u> MONTH	THIS FISCAL YEAR	
F) Ex parte communications:			
Dismissed, complaint about court ruling	g 0	0	
Dismissed, no jurisdiction	0	0	
Dismissed, inadequate factual basis	0	0	
Dismissal with warning	1	1	
Dismissal after full investigation	0	0	
Deferred discipline agreement	0	0	
Private reprimand	0	0	
Private censure	0	0	
Public reprimand	0	0	
Public censure	0	0	
Other	0	0	
Retired complaint, no longer a judge	1	1	
Suspension		0	
Recommendation of removal from offic	e 0	0	
TOTAL	1	$\frac{-}{2}$	

<u>T</u>	<u>HIS</u>	THIS FISCAL
<u>MC</u>	<u>NTH</u>	<u>YEAR</u>
G) Disability:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	0
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement		0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	0	0

T	HIS	THIS FISCAL
MC	NTH	YEAR
H) Political violation:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	0
Dismissal with warning	0	1
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge		0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	0	1

T	<u>HIS</u>	THIS FISCAL
<u>MC</u>	NTH	<u>YEAR</u>
I) Recusal:		•
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	2
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Drivate censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	. 0	0
Retired complaint, no longer a judge		0
Suspension	. 0	0
Recommendation of removal from office	0	_0
TOTAL	0	2

	<u>THIS</u> MONTH	THIS FISCAL YEAR
J) Miscellaneous:		
Dismissed, complaint about court ruling	g 0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	0
Dismissal with warning	0	0
Dismissal after full investigation		0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
		0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from offi		
TOTAL	, 0	U