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MAY 1 7 2013

Clerk of the Courte

Michael W. Catalano, Clerk

401 Seventh Avenue, North Nashville, TN 37219-1407

615-253-1470

100 Supreme Court Building

THE TENNESSEE BOARD OF JUDICIAL CONDUCT

511 Union Street Suite 600 Nashville, TN 37219

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May 14, 2013

Russell Humphrey Chief Clerk of the Senate Office of the Chief Clerk State Capitol, 2nd Floor Nashville, TN 37243 Joe McCord Chief Clerk of the House Office of the Chief Clerk State Capitol, 2nd Floor Nashville, TN 37243

Dear Chief Clerks:

Please find enclosed the statistical report of the Board of Judicial Conduct covering filings and dispositions for the month of April, 2013, as mandated by Tenn. Code Ann. § 17-5-207(a).

Please also find enclosed the Quarterly Report for the third quarter of this fiscal year, as mandated by Tenn. Code Ann. § 17-5-207(b). Although it is not due to be filed until June 20, 2013, we have been able to complete that statistical analysis early and felt you should be sent this report as soon as it was available.

There has been a change in the "type of judge" category in the quarterly report form. The referee category has been changed to "Magistrate and Referee," as this is the name magistrates are using to refer to themselves now. Therefore, although the individual statistics stated in the second and third quarterly reports for the referees, commissioners and municipal judges may not at first seem to add up individually due to this change of category, they do add up as a whole, and the figures are internally consistent.

Sincerely,

CHRIS CRAFT Board Chair

BOARD OF JUDICIAL CONDUCT MONTHLY REPORT FOR APRIL, 2013

The number and category of complaints OPENED¹:

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MAY 1 7 2013
Clerk of the Courts

	THIS	THIS FISCAL
\underline{N}	IONTH	YEAR
A) Failure to comply with law:	26	213
B) Bias, prejudice and unfairness:	0	28
C) Discourtesy:	2	12
D) Abuse of office:	0	15
E) Delay:	0	13
F) Ex parte communications:	1	9
G) Disability:	0	4
H) Political violation:	1	5
I) Recusal:	2	15
J) Miscellaneous:	0	0
TOTAL	32	314

The number and category of complaints CLOSED:

	<u>THIS</u>	THIS FISCAL
<u> 1</u>	<u>MONTH</u>	<u>YEAR</u>
A) Failure to comply with law:	19	195
B) Bias, prejudice and unfairness:	5	24
C) Discourtesy:	3	9
D) Abuse of office:	2	13
E) Delay:	3	16
F) Ex parte communications:	1	7
G) Disability:	0	5
H) Political violation:	. 0	4
I) Recusal:	0	12
J) Miscellaneous:	_0	_1
TOTAL	33	286

^{1.} A single complaint naming several judges is counted as a separate complaint against each judge. A complaint whose allegations encompass more than one category is listed under the most serious category alleged.

DISPOSITION of the complaints closed by CATEGORY:

<u>THIS</u> MONTH	THIS FISCAL YEAR
A) Failure to comply with law:	
Dismissed, complaint about court ruling18	137
Dismissed, no jurisdiction 0	10
Dismissed, inadequate factual basis 0	22
Dismissal with warning 1	5
Dismissal after full investigation 0	0
Deferred discipline agreement 0	0
Private reprimand 0	2
Private censure 0	0
Public reprimand 0	1
Public censure 0	0
Other 0	0
Retired complaint, no longer a judge 0	0
Suspension 0	0
Recommendation of removal from office 0	_0
TOTAL 19	195

	<u>THIS</u> MONTH	THIS FISCAL YEAR
B) Bias, prejudice and unfairness:		
Dismissed, complaint about court ruling	0	8
Dismissed, no jurisdiction	1	1
Dismissed, inadequate factual basis	3	14
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	1	1
Suspension	0	0
Recommendation of removal from office	e <u>0</u>	_0
TOTAL	5	24

<u>T</u>	<u>HIS</u>	THIS FISCAL
<u>MC</u>	<u>NTH</u>	<u>YEAR</u>
C) Discourtesy:		
Dismissed, complaint about court ruling	0	1
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	5
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	3	3
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	3	9

<u>T</u>	HIS	THIS FISCAL
<u>M0</u>	<u>ONTH</u>	<u>YEAR</u>
D) Abuse of office:		
Dismissed, complaint about court ruling	1	2
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	9
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	1
Public censure	1	1
Other	0	0
Retired complaint, no longer a judge	. 0	0
Suspension	0	0
Recommendation of removal from office	_0	_0
TOTAL	2	13

	<u>THIS</u>	THIS FISCAL
	<u>MONTH</u>	<u>YEAR</u>
E) Delay:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	2	11
Dismissal with warning	1	2
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand		3
Private censure	0	0
Public reprimand	0	0
Public censure		0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	e <u>0</u>	_0
TOTAL	3	16

<u>THIS</u>	THIS FISCAL
<u>MONTH</u>	<u>YEAR</u>
F) Ex parte communications:	
Dismissed, complaint about court ruling 0	0
Dismissed, no jurisdiction 0	1
Dismissed, inadequate factual basis 0	5
Dismissal with warning 0	0
Dismissal after full investigation 0	0
Deferred discipline agreement 0	0
Private reprimand 0	0
Private censure 0	0
Public reprimand 1	1
Public censure 0	0
Other 0	0
Retired complaint, no longer a judge 0	0
Suspension 0	0
Recommendation of removal from office 0	_0
TOTAL 1	7

$\underline{\mathbf{T}}$	<u>HIS</u>	THIS FISCAL
<u>MO</u>	NTH	<u>YEAR</u>
G) Disability:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	1
Dismissed, inadequate factual basis	0	4
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Determent aproximation	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	0	5

	THIS MONTH	THIS FISCAL YEAR
H) Political violation:	WIOTVIII	ILIK
Dismissed, complaint about court ruling-	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	4
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement		0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	 0	0
Suspension	0	0
Recommendation of removal from office	e <u>0</u>	_0
TOTAL	0	4

<u>TF</u>	HIS	THIS FISCAL
<u>MO</u>	<u>NTH</u>	<u>YEAR</u>
I) Recusal:		
Dismissed, complaint about court ruling	0	2
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	10
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	0	12

<u>T</u>	HIS T	HIS FISCAL
MO	NTH	<u>YEAR</u>
J) Miscellaneous:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	0
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	1
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	0	1