BOARD OF JUDICIAL CONDUCT FISCAL YEAR 2012-2013 THIRD QUARTERLY REPORT (To the period 1/1/13 through 3/31/13) 2013 MAY 20 PM 1: 15

(For the period 1/1/13 through 3/31/13) Due 6/20/13

APPELLATE COURT CLERK

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The number of complaints OPENED¹ this quarter is 104. Year to date the number is 282.

The number of complaints CLOSED this quarter is 92. Year to date the number is 253.

The DISPOSITION of the complaints closed this quarter is:

| | YTD (Year to Date) |
|--|--------------------|
| Dismissed, complaint about court ruling 62 | 149 |
| Dismissed, no jurisdiction 5 | 12 |
| Dismissed, inadequate factual basis 19 | 79 |
| Dismissal with warning 1 | 5 |
| Dismissal after full investigation 0 | 0 |
| Deferred discipline agreement 0 | 1 |
| Private reprimand 4 | 6 |
| Private censure 0 | 0 |
| Public reprimand 1 | 1 |
| Public censure 0 | 0 |
| Other 0 | 0 |
| Retired complaint, no longer a judge 0 | 0 |
| Suspension 0 | 0 |
| Recommendation of removal from office 0 | 0 |
| TOTAL 92 | 253 |

The number of complaints PENDING on the last day of this quarter is 65.

The number of complaints for which PROBABLE CAUSE WAS FOUND² this quarter is 7. The number year to date is 17.

The number of complaints for which FORMAL CHARGES WERE FILED this quarter is 0. The number year to date is 0.

^{1.} A single complaint naming several judges is counted as a separate complaint against each judge. A complaint whose allegations encompass more than one category is listed under the most serious category alleged.

^{2. &}quot;Probable cause was found" means that Disciplinary Counsel and the investigative panel believe that there is evidence supporting the allegations against a judge and the investigative panel has authorized a full investigation pursuant to Tenn. Code Ann. §17-5-304 (c)(3).

The NATURE of the complaints filed and the TYPE OF JUDGE according to the following categories is:

| A) Failure to comply with (| the law: | YTD |
|-----------------------------|----------|--|
| Criminal | 25 | 54 |
| Circuit | 11 | 33 |
| Chancery | 8 | 19 |
| General Sessions | 17 | 50 |
| Juvenile | 0 | 5 |
| Magistrate and Referee | 1 | 8 |
| Municipal | 1 | 4 |
| Commissioner | 1 | 3 |
| Probate | 3 | 3 |
| Appellate Court | 0 | 0 |
| Senior and Retired | 2 | 2 |
| Other | 3_ | 7 (Non-judge, deceased judge, judge from another |
| TOTAL | 72 | 188 state, etc.) |
| 5) 5 | | |
| B) Bias, prejudice and unfa | urness: | <u>YTD</u> |
| Criminal | 2 | 5 |
| Circuit | 0 | 5 |
| Chancery | 2 | 3 |
| General Sessions | 2 | 7 |
| Juvenile | 1 | 3 |
| Magistrate and Referee | 4 | 4 |
| Municipal | 0 | 0 |
| Commissioner | 0 | 0 |
| Probate | 0 | 0 |
| Appellate Court | 0 | 0 |
| Senior and Retired | 0 | 0 |
| Other | 0_ | 0 |
| TOTAL | 11 | 27 |
| C) Discourtesy: | | YTD |
| Criminal | 0 | 11 <u>D</u> |
| Circuit | 0 | 1 |
| Chancery | 0 | 0 |
| General Sessions | 2 | 3 |
| Juvenile | 0 | 0 |
| Magistrate and Referee | 1 | 1 |
| Municipal | 1 | 1 |
| Commissioner | 0 | 0 |
| Probate | 0 | Ĭ |
| Appellate Court | 0 | 0 |
| Senior and Retired | 0 | 0 |
| Other | 0 | 0 |
| TOTAL | 4 | - 8 |

| D) Abuse of office: | | <u>YTD</u> |
|---------------------------|-----|------------|
| Criminal | | 0 |
| Circuit | 1 | 5 |
| Chancery | 0 | 0 |
| General Sessions | 4 | 8 |
| Juvenile | 0 | 0 |
| Magistrate and Referee | 0 | 1 |
| Municipal | 0 | 0 |
| Commissioner | 0 | 0 |
| Probate | 0 | 0 |
| Appellate Court | 0 | 1 |
| Senior and Retired | 0 | 1 |
| Other | 0 | 0 |
| TOTAL | 5 | 16 |
| | - | |
| E) Delay: | | YTD |
| Criminal | 2 | <u></u> |
| Circuit | 0 | 1 |
| Chancery | 0 | 1 |
| General Sessions | 0 | 3 |
| Juvenile | 0 | 0 |
| Magistrate and Referee | 0 | 0 |
| Municipal | 0 | 0 |
| Commissioner | 0 | 0 |
| Probate | 0 | 0 |
| Appellate Court | 0 | 0 |
| Senior and Retired | 0 | 0 |
| Other | 0 | 0 |
| TOTAL | 2 | 11 |
| | | |
| F) Ex parte communication | 18: | <u>YTD</u> |
| Criminal | 1 | 1 |
| Circuit | 0 | 0 |
| Chancery | 0 | 1 |
| General Sessions | 0 | 3 |
| Juvenile | 0 | 0 |
| Magistrate and Referee | 1 | 1 |
| Municipal | 0 | 0 |
| Commissioner | 0 | 0 |
| Probate | 0 | 0 |
| Appellate Court | 0 | 0 |
| Senior and Retired | 0 | 0 |
| Other | _1_ | 1 |
| TOTAL | 3 | 7 |
| | | |

| a> = 1 | | |
|----------------------------------|---|--------------------------|
| G) Disability: | | $\underline{\text{YTD}}$ |
| Criminal | 0 | 2 |
| Circuit | 0 | 0 |
| Chancery | 0 | 0 |
| General Sessions | 0 | 1 |
| Juvenile | 0 | 0 |
| Magistrate and Referee | 0 | 0 |
| Municipal | 0 | 1 |
| Commissioner | 0 | 0 |
| Probate | 0 | 0 |
| Appellate Court | 0 | 0 |
| Senior and Retired | 0 | 0 |
| Other | 0 | 0 |
| TOTAL | 0 | 4 |
| | | |
| H) Political violation: | • | YTD |
| Criminal | 0 | 0 |
| Circuit | 0 | 1 |
| Chancery | Õ | 2 |
| General Sessions | Õ | 0 |
| Juvenile | | Ö |
| Magistrate and Referee | 0 | ő |
| Municipal | 0 | ĭ |
| Commissioner | 0 | 0 |
| Probate | - | 0 |
| Appellate Court | Õ | 0 |
| Senior and Retired | 0 | 0 |
| Other | 0 | _ |
| TOTAL | 0 | $\frac{0}{4}$ |
| TOTAL | U | " |
| I) Recusal: | | VTD |
| Criminal | 1 | <u>11D</u> 3 |
| Circuit |) | 2 |
| Chancery | 1 | 1 |
| General Sessions | 3 | |
| Juvenile | 0 | 9 |
| - | 0 | 0 |
| Magistrate and Referee Municipal | 0 | 0 |
| Commissioner | 0 | 0 |
| Probate | - | 0 |
| | 0 | 0 |
| Appellate Court | 0 | 0 |
| Senior and Retired | 0 | 0 |
| Other | 0 | $\frac{0}{16}$ |
| TOTAL | 7 | 16 |

| J) Miscellaneous: | | <u>YTD</u> |
|------------------------|---|------------|
| Criminal | 0 | 0 |
| Circuit | 0 | 1 |
| Chancery | 0 | 0 |
| General Sessions | 0 | 0 |
| Juvenile | 0 | 0 |
| Magistrate and Referee | 0 | 0 |
| Municipal | 0 | 0 |
| Commissioner | 0 | 0 |
| Probate | 0 | 0 |
| Appellate Court | 0 | 0 |
| Senior and Retired | 0 | 0 |
| Other | 0 | 0 |
| TOTAL | 0 | 1 |

Respectfully submitted,

CHRIS CRAFT, Board Chair BOARD OF JUDICIAL CONDUCT