

THE TENNESSEE BOARD OF JUDICIAL CONDUCT

FILED

NOV 1 2 2019

Apm2019-00010

James M. Hivner, Clerk 100 Supreme Court Building 401 Seventh Avenue, North Nashville, TN 37219-1407 615-253-1470

511 Union Street Suite 600 Nashville, TN 37219

MEMBERS OF THE TENNESSEE BOARD OF IUDICIAL CONDUCT

Dee David Gay Board Chair

Andrew G. Brigham Vice Chair

Shane Hutton Interim Disciplinary Counsel

Jeffrey M. Atherton
H. Allen Bray
Andrew G. Brigham
Robert Carter, Jr.
Edwena L. Crowe
Rita Ellison
William C. Koch, Jr.
Camille R. McMullen
Albert Mosley
Benjamin Purser, Jr.
Richard Rogers
Dan Springer
Terica Smith
John Whitworth

Robert W. Wilkinson

November 12th, 2019

Judiciary Committee of the Senate Senator Mike Bell 716 Cordell Hull Building Nashville, TN 37243

Dear Senator Bell:

Please find enclosed the statistical report of the Board of Judicial Conduct covering filings and dispositions for the month of October, 2019, as mandated by Tenn. Code Ann. § 17-5-202.

If you have any questions or concerns, please contact me.

Dee Gay Board Chair

DDG/sp Enclosure



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Clerk of the Appellate Courts Rec'd By

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November 12th, 2019

Judiciary Committee of the House Representative Michael Curcio 630 Cordell Hull Building Nushville, TN 37243

Dear Representative Curcio:

Please find enclosed the statistical report of the Board of Judicial Conduct covering filings and dispositions for the month of October, 2019, as mandated by Tenn. Code Ann. § 17-5-202.

If you have any questions or concerns, please contact me.

Board Chair

DDG/sp Enclosure

BOARD OF JUDICIAL CONDUCT MONTHLY REPORT FOR OCTOBER 2019

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Clerk of the Appellate Courts

ADM 2019-00010

The number and category of complaints OPENED¹:

]	<u>rhis</u>		
M	ONTH	YEAR-TO-D	DATE
A) Failure to comply with law:	35	102	
B) Bias, prejudice and unfairness:	9 .	29	
C) Discourtesy:	1	3	
D) Abuse of office:	1	2	
E) Delay:	0	5	
F) Ex parte communications:	1	1	
G) Disability:	1	1	
H) Political violation:	0	1	
1) Recusal:	0	2	
J) Miscellaneous:	4	9	
TOTAL	52	155	

The number and category of complaints CLOSED:

	<u>THIS</u>	
]	MONTH	YEAR-TO-DATE
A) Failure to comply with law:	33	101
B) Bias, prejudice and unfairness:	9	28
C) Discourtesy:	1	3
D) Abuse of office:	1	2
E) Delay:	1	6
F) Ex parte communications:	. 0	0
G) Disability:	0	0
H) Political violation:	- 0	1
I) Recusal:	- 3	6
J) Miscellaneous:	2	_5
TOTAL	34	152

^{1.} A single complaint naming several judges is counted as a separate complaint against each judge. A complaint whose allegations encompass more than one category is listed under the most serious category alleged.

DISPOSITION of the complaints closed by CATEGORY:

<u>THIS</u> <u>MONTH</u>	YEAR-TO-DATE
A) Failure to comply with law	
Dismissed, complaint about court ruling 17	62
Dismissed, no jurisdiction 3	5
Dismissed, inadequate factual basis 13	33
Dismissal with warning 0	0
Dismissal after full investigation 0	0
Deferred discipline agreement 0	0
Private reprimand 0	0
Private censure 0	0
Public reprimand 0	0
Public censure 0	0
Other 0	1
Retired complaint, no longer a judge 0	0
Suspension 0	0
Recommendation of removal from office 0	0
TOTAL 33	101
152	•••
THIS	VOAR TO RATE
MONTH	YEAR-TO-DATE
B) Bias, prejudice and unfairness:	
MONTH B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling 5	11
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling 5 Dismissed, no jurisdiction	11 5
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling 5 Dismissed, no jurisdiction 1 Dismissed, inadequate factual basis 3	11
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling 5 Dismissed, no jurisdiction 1 Dismissed, inadequate factual basis 3 Dismissal with warning 0	11 5 12 0
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling 5 Dismissed, no jurisdiction 1 Dismissed, inadequate factual basis 3	11 5 12
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling 5 Dismissed, no jurisdiction 1 Dismissed, inadequate factual basis 3 Dismissal with warning 0	11 5 12 0
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling 5 Dismissed, no jurisdiction 1 Dismissed, inadequate factual basis 0 Dismissal with warning 0 Dismissal after full investigation 0 Private reprimand 0	11 5 12 0
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling 5 Dismissed, no jurisdiction 1 Dismissed, inadequate factual basis 3 Dismissal with warning 0 Dismissal after full investigation 0 Private reprimand 0 Private censure 0	11 5 12 0 0
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling 5 Dismissed, no jurisdiction 1 Dismissed, inadequate factual basis 3 Dismissal with warning 0 Dismissal after full investigation 0 Private reprimand 0 Private censure 0	11 5 12 0 0 0
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling 5 Dismissed, no jurisdiction 1 Dismissed, inadequate factual basis 0 Dismissal with warning 0 Dismissal after full investigation 0 Private reprimand 0	11 5 12 0 0 0
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling 5 Dismissed, no jurisdiction 1 Dismissed, inadequate factual basis 3 Dismissal with warning 0 Dismissal after full investigation 0 Private reprimand 0 Private censure 0 Public reprimand 0	11 5 12 0 0 0 0
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling	11 5 12 0 0 0 0 0
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling	11 5 12 0 0 0 0 0
B) Bias, prejudice and unfairness: Dismissed, complaint about court ruling5 Dismissed, no jurisdiction	11 5 12 0 0 0 0 0 0

I	<u>HIS</u>	
<u>MC</u>	NTH	YEAR-TO-DATE
C) Discourtesy:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	1	2
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement		0
Private reprimand	0	0
Private censure		0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	1	2

$oldsymbol{1}$	<u>'HIS</u>	
<u>M</u> C	HTMC	YEAR-TO-DATE
D) Abuse of office:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	1
Dismissal with warning	0	0
Dismissal after full investigation		0
Deferred discipline agreement		0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	. 1	1
Retired complaint, no longer a judge	. 0	0
Suspension		0
Recommendation of removal from office		0
TOTAL	ī	2

	<u>THIS</u>		
	<u>MONTH</u>	YEAR-TO-DATI	<u>E</u>
E) Delay:			
Dismissed, complaint about court ruling]	1	
Dismissed, no jurisdiction	0	0	
Dismissed, inadequate factual basis	0	5 ,	
Dismissal with warning	0	0	
Dismissal after full investigation		0	
Deferred discipline agreement	0	0	
Private reprimand		0	
Private censure	0	0	
Public reprimand	0	0	
Public censure	0	0	
Other	0	0	
Retired complaint, no longer a judge	0	0.	
Suspension		0	
Recommendation of removal from office	e <u>0</u>	_0	
TOTAL	1	6	
F) Ex parte communications:	THIS MONTH	YEAR-TO-DAT	<u>E</u>
Dismissed, complaint about court ruling	<u>MONTH</u> 0	0	<u>E</u>
Dismissed, complaint about court ruling Dismissed, no jurisdiction	<u>MONTH</u> 0 0	0	<u>E</u>
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0	0 0 0	E
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0	0 0 0	<u>E</u>
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0	0 0 0 0	E
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0	0 0 0 0 0	E
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0 0	0 0 0 0	E
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0 0	0 0 0 0 0	E
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0 0 0 0	0 0 0 0 0 0	E
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0	E
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0	E
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0	E
Dismissed, complaint about court ruling Dismissed, no jurisdiction	MONTH 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0	E

THIS MONTO		<u>TE</u>
Dismissed, complaint about court ruling 0	0	
Dismissed, no jurisdiction 0	Ŏ	
Dismissed, inadequate factual basis 0	Ö	
Dismissal with warning 0	Ŏ	
Dismissal after full investigation 0	Ö	
Deferred discipline agreement 0	Ö	
Private reprimand 0	Ŏ	
Private censure 0	Ŏ	
Public reprimand 0	Ŏ	
Public censure 0	0	
Other 0	0	
Retired complaint, no longer a judge 0	Ŏ	
Suspension 0	0	
Recommendation of removal from office 0	_0	
TOTAL 0	<u> </u>	
THI	S	
MON		TE
H) Political violation:		
Dismissed, complaint about court ruling 0	0	
Dismissed, no jurisdiction 0	. 0	
Dismissed, inadequate factual basis 0	i	
Dismissal with warning 0	Ö	
Dismissal after full investigation 0	0	
Deferred discipline agreement 0	Ö	
Private reprimand 0	Ō	
Private censure 0	Ŏ	
Public reprimend	Ō	

Public reprimand ----- 0

Public censure ----- 0

Other ----- 0

THIS MONTH YEAR-TO-DATE I) Recusal: Dismissed, complaint about court ruling 2 Dismissed, no jurisdiction 0 Dismissed, inadequate factual basis 1
I) Recusal: Dismissed, complaint about court ruling 2 Dismissed, no jurisdiction 0
Dismissed, complaint about court ruling 2 Dismissed, no jurisdiction 0
Dismissed, no jurisdiction 0
Dismissal with warning 0 0
Dismissal after full investigation 0
Deferred discipline agreement 0 0
Private reprimand 0 0
Private censure 0 0
Public reprimand 0 0
Public censure 0
Other 0
Retired complaint, no longer a judge 0
Suspension 0
Recommendation of removal from office 0
TOTAL 3 6
IOIAL 3
<u>THIS</u>
MONTH YEAR-TO-DATE
J) Miscellaneous:
Dismissed, complaint about court ruling 0 0
Dismissed, no jurisdiction 0 5
Dismissed, inadequate factual basis 0 0
Dismissal with warning 0 0
Dismissal after full investigation 0 0
Deferred discipline agreement 0 0
Private reprimand 0 0
Private censure 0
Public reprimand 0 0
Public censure 0
Other 0 0
Retired complaint, no longer a judge 0 0
Suspension 0
Recommendation of removal from office 0
TOTAL 0 5