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Clerk of the Courts Rec'd By

James M. Hivner, Clerk 100 Supreme Court Building

401 Seventh Avenue, North Nashville, TN 37219-1407

615-253-1470

## THE TENNESSEE BOARD OF JUDICIAL CONDUCT

511 Union Street Suite 600 Nashville, TN 37219

MEMBERS OF THE TENNESSEE BOARD OF JUDICIAL CONDUCT

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August 15, 2014

Russell Humphrey Chief Clerk of the Senate Office of the Chief Clerk State Capitol, 2<sup>nd</sup> Floor Nashville, TN 37243

Joe McCord Chief Clerk of the House Office of the Chief Clerk State Capitol, 2<sup>nd</sup> Floor Nashville, TN 37243

Dear Chief Clerks:

Please find enclosed the statistical report of the Board of Judicial Conduct covering filings and dispositions for the month of July, 2014, as mandated by Tenn. Code Ann. § 17-5-207.

Sincerely,

CHRIS CRAFT Board Chair

CC/bep

## FILED

AUG 1 8 2014

Clerk of the Courts Rec'd By

## BOARD OF JUDICIAL CONDUCT MONTHLY REPORT FOR JULY, 2014

The number and category of complaints OPENED<sup>1</sup>:

|                                    | <u>THIS</u>  | THIS FISCAL |
|------------------------------------|--------------|-------------|
| <u> 1</u>                          | <u>MONTH</u> | <b>YEAR</b> |
| A) Failure to comply with law:     | 32           | 32          |
| B) Bias, prejudice and unfairness: | 0            | 0           |
| C) Discourtesy:                    | 1            | 1           |
| D) Abuse of office:                | 4            | 4           |
| E) Delay:                          | 2            | 2           |
| F) Ex parte communications:        | 2            | 2           |
| G) Disability:                     | 0            | 0           |
| H) Political violation:            | 6            | 6           |
| I) Recusal:                        | 3            | 3           |
| J) Miscellaneous:                  | 0            | <u>0</u>    |
| TOTAL                              | 50           | 50          |
|                                    |              |             |

The number and category of complaints CLOSED:

|                                    | <u>THIS</u>  | THIS FISCAL |
|------------------------------------|--------------|-------------|
| <u>N</u>                           | <u>MONTH</u> | <u>YEAR</u> |
| A) Failure to comply with law:     | 35           | 35          |
| B) Bias, prejudice and unfairness: | 1            | 1           |
| C) Discourtesy:                    | 0            | 0           |
| D) Abuse of office:                | 1            | 1           |
| E) Delay:                          | 1            | 1           |
| F) Ex parte communications:        | 2            | 2           |
| G) Disability:                     | 0            | 0           |
| H) Political violation:            | 1            | 1           |
| I) Recusal:                        | 2            | 2           |
| J) Miscellaneous:                  | _0           | _0          |
| TOTAL                              | 43           | 43          |

<sup>1.</sup> A single complaint naming several judges is counted as a separate complaint against each judge. A complaint whose allegations encompass more than one category is listed under the most serious category alleged.

## DISPOSITION of the complaints closed by CATEGORY:

| <u>T</u>                                 | <u>HIS</u> | THIS FISCAL |
|--|------------|-------------|
| <u>MC</u>                                | NTH        | <u>YEAR</u> |
| A) Failure to comply with law:           |            |             |
| Dismissed, complaint about court ruling3 | 32         | 32          |
| Dismissed, no jurisdiction               | 0          | 0           |
| Dismissed, inadequate factual basis      | 1          | 1           |
| Dismissal with warning                   | 2          | 2           |
| Dismissal after full investigation       | 0          | 0           |
| Deferred discipline agreement            |            | 0           |
| Private reprimand                        |            | 0           |
| Private censure                          | 0          | 0           |
| Public reprimand                         | 0          | 0           |
| Public censure                           | 0          | 0           |
| Other                                    | 0          | 0           |
| Retired complaint, no longer a judge     | 0          | 0           |
| Suspension                               | 0          | 0           |
| Recommendation of removal from office    | 0          | _0          |
| TOTAL                                    | 35         | 35          |

|   | <u>THIS</u><br>MONTH | THIS FISCAL<br>YEAR |
|---|----------------------|---------------------|
| B) Bias, prejudice and unfairness:      |                      | <del> </del>        |
| Dismissed, complaint about court ruling | g 0                  | 0                   |
| Dismissed, no jurisdiction              | 0                    | 0                   |
| Dismissed, inadequate factual basis     | 1                    | 1                   |
| Dismissal with warning                  | 0                    | 0                   |
| Dismissal after full investigation      | 0                    | 0                   |
| Deferred discipline agreement           | 0                    | 0                   |
| Private reprimand                       | 0                    | 0                   |
| Private censure                         | 0                    | 0                   |
| Public reprimand                        | 0                    | 0                   |
| Public censure                          | 0                    | 0                   |
| Other                                   | 0                    | 0                   |
| Retired complaint, no longer a judge    | · O                  | 0                   |
| Suspension                              | 0                    | 0                   |
| Recommendation of removal from office   | ce <u>0</u>          | _0                  |
| TOTAL                                   | 1                    | 1                   |

| $\mathbf{T}$                            | <u>HIS</u> | THIS FISCAL |
|---|------------|-------------|
| <u>MC</u>                               | <u>NTH</u> | <u>YEAR</u> |
| C) Discourtesy:                         |            |             |
| Dismissed, complaint about court ruling | 0          | 0           |
| Dismissed, no jurisdiction              | 0          | 0           |
| Dismissed, inadequate factual basis     | 0          | 0           |
| Dismissal with warning                  | 0          | 0           |
| Dismissal after full investigation      | 0          | 0           |
| Deferred discipline agreement           |            | 0           |
| Private reprimand                       | 0          | 0           |
| Private censure                         | 0          | 0           |
| Public reprimand                        | 0          | 0           |
| Public censure                          | 0          | 0           |
| Other                                   | 0          | 0           |
| Retired complaint, no longer a judge    | 0          | 0           |
| Suspension                              | 0          | 0           |
| Recommendation of removal from office   | 0          | _0          |
| TOTAL                                   | 0          | 0           |

| $\underline{T}$                         | <u>HIS</u> | THIS FISCAL |
|---|------------|-------------|
| <u>MC</u>                               | <u>NTH</u> | <u>YEAR</u> |
| D) Abuse of office:                     |            |             |
| Dismissed, complaint about court ruling | 0          | 0           |
| Dismissed, no jurisdiction              | 0          | 0           |
| Dismissed, inadequate factual basis     | 0          | 0           |
| Dismissal with warning                  | 0          | 0           |
| Dismissal after full investigation      | 0          | 0           |
| Deferred discipline agreement           | 0          | 0           |
| Private reprimand                       | 0          | 0           |
| Private censure                         | 0          | 0           |
| Public reprimand                        | 0          | 0           |
| Public censure                          | 0          | 0           |
| Other                                   | 0          | 0           |
| Retired complaint, no longer a judge    | 1          | 1           |
| Suspension                              | 0          | 0           |
| Recommendation of removal from office   | 0          | _0          |
| TOTAL                                   | 1          | 1           |

|  | <u>THIS</u>  | THIS FISCAL |
|--|--------------|-------------|
|  | <b>MONTH</b> | <u>YEAR</u> |
| E) Delay:                              |              |             |
| Dismissed, complaint about court rulin | g 0          | 0           |
| Dismissed, no jurisdiction             | 0            | 0           |
| Dismissed, inadequate factual basis    | 1            | 1           |
| Dismissal with warning                 | 0            | 0           |
| Dismissal after full investigation     | 0            | 0           |
| Deferred discipline agreement          | 0            | 0           |
| Private reprimand                      | 0            | 0           |
| Private censure                        | 0            | 0           |
| Public reprimand                       | 0            | 0           |
| Public censure                         | 0            | 0           |
| Other                                  | 0            | 0           |
| Retired complaint, no longer a judge   | 0            | 0           |
| Suspension                             | 0            | 0           |
| Recommendation of removal from offi    | ce <u>0</u>  | _0          |
| TOTAL                                  | 1            | 1           |
|  |              |             |

|   | <u>THIS</u>  | THIS FISCAL |
|---|--------------|-------------|
|   | <b>MONTH</b> | <u>YEAR</u> |
| F) Ex parte communications:             |              |             |
| Dismissed, complaint about court ruling | g 0          | 0           |
| Dismissed, no jurisdiction              | 0            | 0           |
| Dismissed, inadequate factual basis     | 1            | 1           |
| Dismissal with warning                  | 1            | 1           |
| Dismissal after full investigation      | 0            | 0           |
| Deferred discipline agreement           |              | 0           |
| Private reprimand                       | 0            | 0           |
| Private censure                         | 0            | 0           |
| Public reprimand                        | 0            | 0           |
| Public censure                          | 0            | 0           |
| Other                                   | 0            | 0           |
| Retired complaint, no longer a judge    | 0            | 0           |
| Suspension                              | 0            | 0           |
| Recommendation of removal from offic    | e <u>0</u>   | _0          |
| TOTAL                                   | 2            | 2           |

| <u>T</u>                                | <u>HIS</u> | THIS FISCAL |
|---|------------|-------------|
| <u>MC</u>                               | NTH        | <u>YEAR</u> |
| G) Disability:                          |            |             |
| Dismissed, complaint about court ruling | 0          | 0           |
| Dismissed, no jurisdiction              | 0          | 0           |
| Dismissed, inadequate factual basis     | 0          | 0           |
| Dismissal with warning                  | 0          | 0           |
| Dismissal after full investigation      | 0          | 0           |
| Deferred discipline agreement           | 0          | 0           |
| Private reprimand                       | 0          | 0           |
| Private censure                         | 0          | 0           |
| Public reprimand                        | 0          | 0           |
| Public censure                          | 0          | 0           |
| Other                                   | 0          | 0           |
| Retired complaint, no longer a judge    | 0          | 0           |
| Suspension                              | 0          | 0           |
| Recommendation of removal from office   | 0          | _0          |
| TOTAL                                   | 0          | 0           |

| $\mathbf{T}$                            | <u>HIS</u> | THIS FISCAL |
|---|------------|-------------|
| MC                                      | <u>NTH</u> | <u>YEAR</u> |
| H) Political violation:                 |            |             |
| Dismissed, complaint about court ruling | 0          | 0           |
| Dismissed, no jurisdiction              | 0          | 0           |
| Dismissed, inadequate factual basis     | 1          | 1           |
| Dismissal with warning                  | 0          | 0           |
| Dismissal after full investigation      | 0          | 0           |
| Deferred discipline agreement           | 0          | 0           |
| Private reprimand                       | 0          | 0           |
| Private censure                         | 0          | 0           |
| Public reprimand                        | 0          | 0           |
| Public censure                          | 0          | 0           |
| Other                                   | 0          | 0           |
| Retired complaint, no longer a judge    | 0          | 0           |
| Suspension                              | 0          | 0           |
| Recommendation of removal from office   | 0          | _0          |
| TOTAL                                   | 1          | 1           |

| T                                       | <u>HIS</u> | THIS FISCAL |
|---|------------|-------------|
| <u>MO</u>                               | NTH        | <u>YEAR</u> |
| I) Recusal:                             |            |             |
| Dismissed, complaint about court ruling | 0          | 0           |
| Dismissed, no jurisdiction              | 0          | 0           |
| Dismissed, inadequate factual basis     | 1          | 1           |
| Dismissal with warning                  | 0          | 0           |
| Dismissal after full investigation      | 0          | 0           |
| Deferred discipline agreement           |            | 0           |
| Private reprimand                       | 0          | 0           |
| Private censure                         | 0          | 0           |
| Public reprimand                        | 0          | 0           |
| Public censure                          | 0          | 0           |
| Other                                   | 0          | 0           |
| Retired complaint, no longer a judge    | 1          | 1           |
| Suspension                              | 0          | 0           |
| Recommendation of removal from office   | 0          | _0          |
| TOTAL                                   | 2          | 2           |

| <u>T1</u>                               | HIS | THIS FISCAL |
|---|-----|-------------|
| <u>MO</u>                               | NTH | <u>YEAR</u> |
| J) Miscellaneous:                       |     |             |
| Dismissed, complaint about court ruling | 0   | 0           |
| Dismissed, no jurisdiction              | 0   | 0           |
| Dismissed, inadequate factual basis     | 0   | 0           |
| Dismissal with warning                  | 0   | 0           |
| Dismissal after full investigation      | 0   | 0           |
| Deferred discipline agreement           |     | 0           |
| Private reprimand                       | 0   | 0           |
| Private censure                         | 0   | 0           |
| Public reprimand                        | 0   | 0           |
| Public censure                          | 0   | 0           |
| Other                                   | 0   | 0           |
| Retired complaint, no longer a judge    |     | 0           |
| Suspension                              | 0   | 0           |
| Recommendation of removal from office   | 0   | _0          |
| TOTAL                                   | 0   | 0           |