

Michael W. Catalano, Clerk

401 Seventh Avenue, North Nashville, TN 37219-1407

615-253-1470

100 Supreme Court Building



## THE TENNESSEE BOARD OF JUDICIAL CONDUCT

511 Union Street Suite 600 Nashville, TN 37219

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May 17, 2014

Russell Humphrey Chief Clerk of the Senate Office of the Chief Clerk State Capitol, 2<sup>nd</sup> Floor Nashville, TN 37243 Joe McCord Chief Clerk of the House Office of the Chief Clerk State Capitol, 2<sup>nd</sup> Floor Nashville, TN 37243

Dear Chief Clerks:

Please find enclosed the statistical report of the Board of Judicial Conduct covering filings and dispositions for the month of April, 2014, as mandated by Tenn. Code Ann. § 17-5-207(a).

Please also find enclosed the Quarterly Report for the third quarter of this fiscal year, as mandated by Tenn. Code Ann. § 17-5-207(b). Although it is not due to be filed until June 20, 2014, we have been able to complete that statistical analysis early and felt you should be sent this report as soon as it was available.

Sincerely,

CHRIS CRAFT Board Chair

CC/bep

## **BOARD OF JUDICIAL CONDUCT MONTHLY REPORT FOR APRIL, 2014**

The number and category of complaints OPENED<sup>1</sup>:

	<u>THIS</u>	THIS FISCAL
<u>M</u>	<u>IONTH</u>	YEAR
A) Failure to comply with law:	- 23	245
B) Bias, prejudice and unfairness:	0	10
C) Discourtesy:	2	7
D) Abuse of office:	1	22
E) Delay:	1	29
F) Ex parte communications:	0	5
G) Disability:	0	3
H) Political violation:	5	15
I) Recusal:	2	11
J) Miscellaneous:	<u>0</u>	<u>6</u>
TOTAL	34	353

RECEIVED

MAY 19 2014

Clerk of the Courts

Rec'd By

FILED

MAY 1 9 2014

Clerk of the Courts

Rec'd By

The number and category of complaints CLOSED:

	<u>THIS</u>	THIS FISCAL
	<u>MONTH</u>	<u>YEAR</u>
A) Failure to comply with law:	- 25	245
B) Bias, prejudice and unfairness:	- 0	15
C) Discourtesy:	2	11
D) Abuse of office:	6	17
E) Delay:	0	27
F) Ex parte communications:	4	9
G) Disability:	· <b>-</b> 0	2
H) Political violation:	2	8
I) Recusal:	2	11
J) Miscellaneous:	<u> </u>	5
TOTAL	41	350

<sup>1.</sup> A single complaint naming several judges is counted as a separate complaint against each judge. A complaint whose allegations encompass more than one category is listed under the most serious category alleged.

## DISPOSITION of the complaints closed by CATEGORY:

<u>TH</u> MON	IS THIS FIS	
A) Failure to comply with law:		<u> </u>
Dismissed, complaint about court ruling 23	1 228	
Dismissed, no jurisdiction 2	2 5	
Dismissed, inadequate factual basis 1	. 3	
Dismissal with warning 0	3	
Dismissal after full investigation 0	0	
Deferred discipline agreement 0	0	
Private reprimand 0	0	
Private censure 0	0	
Public reprimand 0	0	
Public censure 0	0	
Other 0	0	
Retired complaint, no longer a judge 1	. 6	
Cease and Desist Order (	0	
Suspension 0	0	
Recommendation of removal from office 0	0	
TOTAL 2:	5 245	

	<u>THIS</u>	THIS FISCAL
<u>N</u>	<u>MONTH</u>	<u>YEAR</u>
B) Bias, prejudice and unfairness:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	9
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand		0
Private censure	•	0
Public reprimand		0
Public censure	•	1
Other	0	0
Retired complaint, no longer a judge	· O	5
Cease and Desist Order	•	0
Suspension	0	0
Recommendation of removal from office	<u> </u>	_0
TOTAL	0	15

<u>T</u> MC	<u>HIS</u> NTH	THIS FISCAL YEAR
C) Discourtesy:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	1
Dismissed, inadequate factual basis		6
Dismissal with warning	0	1
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	3
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	0
TOTAL	2	11

	<u>rhis</u>	THIS FISCAL
<u>M</u>	<u>ONTH</u>	YEAR
D) Abuse of office:		
Dismissed, complaint about court ruling	. 0	0
Dismissed, no jurisdiction	. 0	0
Dismissed, inadequate factual basis	- 2	11
Dismissal with warning	. 0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand		1
Private censure	•	0
Public reprimand		1
Public censure	· 1	1
Other	- 3	3
Retired complaint, no longer a judge	- 0	0
Cease and Desist Order	0	0
Suspension	. 0	0
Recommendation of removal from office	0	_0
TOTAL	6	17

	<b>THIS</b>	THIS FISCAL
$\underline{\mathbf{N}}$	<u> 10NTH</u>	YEAR
E) Delay:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	24
Dismissal with warning	0	1
Dismissal after full investigation	0	0
Deferred discipline agreement	0	1
Private reprimand		0
Private censure		0
Public reprimand		0
Public censure	-	0
Other	0	0
Retired complaint, no longer a judge	0	1
Cease and Desist Order	- 0	0
Suspension	0	0
Recommendation of removal from office -	<u>0</u>	_0
TOTAL	0	27

<u>T</u>	<u>'HIS</u>	THIS FISCAL
<u>MC</u>	<u>ONTH</u>	<u>YEAR</u>
F) Ex parte communications:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	- 0	0
Dismissed, inadequate factual basis	2	3
Dismissal with warning	1	3
Dismissal after full investigation	1	1
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	-	0
Public censure	•	0
Other	0	0
Retired complaint, no longer a judge	0	2
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	_0
TOTAL	4	9

T	<u>HIS</u>	THIS FISCAL
MC	<u>NTH</u>	YEAR
G) Disability:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	2
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure		0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Cease and Desist Order	0	0
Suspension	0	0
Recommendation of removal from office	0	0
TOTAL	0	

<u>T</u> <u>MC</u>	<u>HIS</u> NTH	THIS FISCAL YEAR
H) Political violation:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	1	2
Dismissal with warning	1	5
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	0	0
Retired complaint, no longer a judge	0	0
Cease and Desist Order	0	1
Suspension	0	0
Recommendation of removal from office	0	<u>0</u>
TOTAL	2	8

	<u>THIS</u> ONTH	THIS FISCAL YEAR
I) Recusal:		
Dismissed, complaint about court ruling	- 0	0
Dismissed, no jurisdiction	- 0	0
Dismissed, inadequate factual basis	- 2	11
Dismissal with warning	- 0	0
Dismissal after full investigation	. 0	0
Deferred discipline agreement	- 0	0
Private reprimand		0
Private censure	•	0
Public reprimand		0
Public censure	- 0	0
Other	- 0	0
Retired complaint, no longer a judge	- 0	0
Suspension	- 0	0
Recommendation of removal from office	· <u>    0</u>	_0
TOTAL	2	11

]	HIS	THIS FISCAL
<u>M</u> 0	<u>ONTH</u>	<u>YEAR</u>
J) Miscellaneous:		
Dismissed, complaint about court ruling	0	0
Dismissed, no jurisdiction	0	0
Dismissed, inadequate factual basis	0	5
Dismissal with warning	0	0
Dismissal after full investigation	0	0
Deferred discipline agreement	0	0
Private reprimand	0	0
Private censure	0	0
Public reprimand	0	0
Public censure	0	0
Other	- 0	0
Retired complaint, no longer a judge	. 0	0
Suspension	0	0
Recommendation of removal from office	_0	_0
TOTAL	0	5